

ICAI EXAMINATIONS ENTRY FORM

REGISTRATION FEE:

The registration fee is a single payment for every candidate taking an examination. Once the fee is paid in respect of a named candidate there is no repeat of this fee required, no matter how many times a candidate takes CISI examinations, including other CISI qualifications.

The registration fee includes student membership which is provided for a full 12 months from the exam booking date (Note 1).

Registration Fee	<input type="checkbox"/> £25	<input type="checkbox"/> £25		
	ICAI Student Entry	ICAI Member Entry	1st Choice Exam Date (Note 3 + 5)	2nd Choice Exam Date (Note 3 + 5)
International Introduction to Investment (stand-alone)	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Investment Operations Certificate (IOC) -				
Introduction to Securities & Investment *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
FSA Financial Regulation	<input type="checkbox"/> £97.50	<input type="checkbox"/> £146.25 / / AM/PM / / AM/PM
or				
Principles of Financial Regulation	<input type="checkbox"/> £97.50	<input type="checkbox"/> £146.25 / / AM/PM / / AM/PM
Administration of Settlement & Investments	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Asset Servicing (Corporate Actions) *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Collective Investment Schemes Administration	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Exchange-Traded Derivatives Administration *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Global Securities Operations *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
IT in Investment Operations *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
ISA Administration	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Operational Risk *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
OTC Derivatives Administration *	<input type="checkbox"/> £75	<input type="checkbox"/> £112.50 / / AM/PM / / AM/PM
Combating Financial Crime	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Global Financial Compliance	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Risk in Financial Services	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM

* these units are recommended as more generic/international for candidates in India

	ICAI Student Entry	ICAI Member Entry	1st Choice Exam Date (Note 3 + 5)	2nd Choice Exam Date (Note 3 + 5)
CISI Certificates Programme				
FSA Financial Regulation or Principles of Financial Regulation	<input type="checkbox"/> £97.50	<input type="checkbox"/> £146.25 / / AM/PM / / AM/PM
Financial Derivatives	<input type="checkbox"/> £97.50	<input type="checkbox"/> £146.25 / / AM/PM / / AM/PM
Derivatives	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Commodity Derivatives	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Global Securities	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
International Investment Management	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Combating Financial Crime	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Global Financial Compliance	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
Risk in Financial Services	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM

International Certificate in Wealth Management (ICWM)

	<input type="checkbox"/> £112.50	<input type="checkbox"/> £168.75 / / AM/PM / / AM/PM
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Advanced Certificates programme

Advanced Operational Risk	<input type="checkbox"/> £130	<input type="checkbox"/> £195 / / AM/PM / / AM/PM
Advanced Global Securities Operations	<input type="checkbox"/> £130	<input type="checkbox"/> £195 / / AM/PM / / AM/PM

CISI Diploma Units

Bonds & Fixed Interest Markets	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Fund Management	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Financial Derivatives	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Global Operations Management	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM
Regulation & Compliance	<input type="checkbox"/> £157.50	<input type="checkbox"/> £236.25 / / AM/PM / / AM/PM

£ TOTAL payment:

£

(Please ensure you have included the Registration Fee if applicable)

- 1. STUDENT MEMBERSHIP:** For details of the benefits of CISI student membership please consult the CISI website: cisi.org/studentmembers
- 2. CHANGE OF NAME:** If you have changed your name since your last exam please send us a copy of the relevant documentation, eg, marriage certificate, marked for the attention of the Data Integrity Executive.
- 3. TRANSFER AND WITHDRAWAL POLICY:** Before booking your examination place, please read the Transfers, Withdrawals, Resits & Late Entries policy (9) at cisi.org/exampolicies
- 4. CANDIDATE NUMBER:** If you have previously taken examinations with the CISI please insert your Candidate Number if known. Otherwise, leave blank.
- 5. COMPUTER BASED TESTING EXAMINATIONS (CBT):** Candidates should select **one location** at which they wish to sit their examination from the following CBT examination centres in India: Bangalore, Chennai, Delhi, Gurgaon, Hyderabad, Kolkatta or Mumbai.
- 6. EXAMINATION FEES:** Fee prices are set annually for the period 1 April - 31 March and visit cisi.org/pricelist for current prices. Payment can be made by American Express, Delta, Eurocard, MasterCard, Switch and Visa. Please complete the details below.
- 7. CHANGE OF ADDRESS:** Please notify the CISI promptly of any address change.
- 8. SPECIAL NEEDS:** Candidates with special needs should notify the CISI at least 28 days prior to the examination to allow appropriate arrangements to be made. All requests for extra time must be supported by an original of the medical/educational psychologist's report at the time of lodging this registration.
- 9. REGULATIONS:** The examination regulations can be viewed at cisi.org/exampolicies. You will be sent a copy of the examination regulations with your exam entry acknowledgement.

For all credit/debit card bookings please fax or email a scanned, signed copy to:

Fax: +91 (22) 4091 9499
Email: southasia.office@cisi.org and also copy membership@icwai.org

**For foreign currency demand drafts, please send your application form
along with the demand draft, by post to:**

Neil Goodenough
Client Services,
Chartered Institute for Securities & Investment,
20 Fenchurch, London EC3M 3BY, United Kingdom