# The CISI’s Qualifications and Membership Framework

## UK Qualifications Levels

<table>
<thead>
<tr>
<th>Foundation</th>
<th>Qualifying</th>
<th>Qualifying</th>
<th>Advanced</th>
<th>Postgraduate professional</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
</tr>
</tbody>
</table>

### Foundation Levels

- **Wealth / Retail**
  - **Financial Planning**
    - Fundamentals of Financial Services
      - The Foundation Qualification - Introduction to Investment
      - International Introduction to Investment
    - Certificate for Introduction to Securities & Investment
    - The Foundation Qualification - Introduction to Investment
  - Compliance / Risk
    - Schools & Colleges
      - Diploma in Finance, Risk & Investment
  - Operations
    - Capital Markets / Corporate Finance
      - Certificate in Corporate Finance
    - Certificate in Pension Transfers & Planning Advice
  - Islamic Finance
    - Fundamentals of Islamic Banking & Finance
    - Islamic Finance Qualification (IFQ)

### Qualifying Levels

- **Capital Markets / Corporate Finance**
  - Investment Operations Certificate (IOC)
  - Managing Cyber Security
  - Risk in Financial Services
  - Global Financial Compliance

### Advanced Levels

- **Investment Advice Diploma**
  - Certificate in Investment Management
  - Investment Advice Diploma (Financial Planning & Advice)
  - Certificate in Pension Transfers & Planning Advice

### Postgraduate professional Levels

- **Chartered Wealth Manager Qualification**
  - Diploma in Wealth Management
  - CERTIFIED FINANCIAL PLANNER (CFP) (Diploma in Advanced Financial Planning)

---

1. This row is a guide only. Qualifications which are regulated by Ofqual and appear on the Regulated Qualifications Framework (RQF) are marked *.
2. The EQF is an overarching qualifications reference framework that links the qualifications of different countries together. Qualifications that are appropriate for the European Securities and Markets Authority (ESMA) guidelines on knowledge and competence are marked +.
3. Gap-fill required for RDR/RFA.

---

CFP™, CERTIFIED FINANCIAL PLANNER™ and CFP® are certification marks owned outside the U.S. by Financial Planning Standards Board Ltd.
Chartered Institute for Securities & Investment is the marks licensing authority for the CFP marks in the United Kingdom, through agreement with FPSB.

---