

The CISI's Qualifications and Membership Framework

UK Qualifications Levels	Foundation		Qualifying				Advanced		Postgraduate professional			
	2	3	3				4		5	6	7	
Wealth / Retail			International Certificate in Wealth & Investment Management				Certificate in International Advanced Wealth Management			Chartered Wealth Manager Qualification (Diploma in Wealth Management)		
Financial Planning							Certificate in Paraplanning			CERTIFIED FINANCIAL PLANNER (CFP™) (Diploma in Advanced Financial Planning)		
Compliance / Risk	Fundamentals of Financial Services		Combating Financial Crime Managing Cyber Security Risk in Financial Services Global Financial Compliance						Diploma in Investment Compliance			
Operations	Schools & Colleges Certificate for Introduction to Securities & Investment		Investment Operations Certificate (IOC)		IT in Investment Operations		Managing Operational Risk in Financial Institutions		Advanced Certificate in Global Securities Operations		Diploma in Investment Operations	
Capital Markets / Corporate Finance			Capital Markets Programme		Certificate in Corporate Finance		Level 4 Certificate in Investment Management				Diploma in Capital Markets Diploma in Corporate Finance	
Islamic Finance	Fundamentals of Islamic Banking & Finance		Islamic Finance Qualification (IFQ)									
European Qualifications Framework (EQF) ²	3		4				5		5		6	

1. This row is a guide only. Qualifications which are regulated by Ofqual and appear on the Regulated Qualifications Framework (RQF) are marked*.

2. The EQF is an overarching qualifications reference framework that links the qualifications of different countries together. Qualifications that are appropriate for the European Securities and Markets Authority (ESMA) guidelines on knowledge and competence are marked †.

3. Gap-fill required for RDR/RFA.

CFP™, CERTIFIED FINANCIAL PLANNER™ and CFP® are certification marks owned outside the U.S. by Financial Planning Standards Board Ltd. Chartered Institute for Securities & Investment is the marks licensing authority for the CFP marks in the United Kingdom, through agreement with FPSB.