

The CISI's Qualifications and Membership Framework

UK Qualifications Levels	Foundation		Qualifying	Qualifying	Advanced	Postgraduate professional		
	2	3	3	4	5	6	7	
Wealth / Retail	Fundamentals of Financial Services The Foundation Qualification - Introduction to Investment International Introduction to Investment Schools & Colleges Diploma in Finance, Risk & Investment Certificate for Introduction to Securities & Investment		International Certificate in Wealth & Investment Management	Certificate in International Advanced Wealth Management	Investment Advice Diploma	Certificate in Pension Transfers & Planning Advice ⁴	Certificate in Private Client Investment Advice & Management	Chartered Wealth Manager Qualification (Diploma in Wealth Management)
Financial Planning			Certificate in Paraplanning	Investment Advice Diploma (Financial Planning & Advice)	Certificate in Pension Transfers & Planning Advice ⁴	Certificate in Advanced Financial Planning (Route to CFP™)	CERTIFIED FINANCIAL PLANNER (CFP™) (Diploma in Advanced Financial Planning)	
Compliance / Risk			Combating Financial Crime	Managing Cyber Security	Risk in Financial Services	Global Financial Compliance	Diploma in Investment Compliance	
Operations			Investment Operations Certificate (IOC)	IT in Investment Operations	Managing Operational Risk in Financial Institutions	Advanced Certificate in Global Securities Operations	Diploma in Investment Operations	
Capital Markets / Corporate Finance			Capital Markets Programme	Certificate in Corporate Finance	Level 4 Certificate in Investment Management	Diploma in Capital Markets	Diploma in Corporate Finance	
Islamic Finance			Fundamentals of Islamic Banking & Finance	Islamic Finance Qualification (IFQ)				
European Qualifications Framework (EQF) ²	3	4	4	5	5	6	7	

1. This row is a guide only. Qualifications which are regulated by Ofqual and appear on the Regulated Qualifications Framework (RQF) are marked*.

2. The EQF is an overarching qualifications reference framework that links the qualifications of different countries together. Qualifications that are appropriate for the European Securities and Markets Authority (ESMA) guidelines on knowledge and competence are marked ⁴.

3. Gap-fill required for RDR/RFA.

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