

CISI Corporate Finance pathway

List of qualifications / examinations which are accepted for eligibility to the CISI level 6 Diploma in Corporate Finance when candidates also hold the level 3 Corporate Finance Technical Foundations exam. Candidates may be able to use these qualifications / examinations to gain an exemption from the level 3 Corporate Finance Regulation exam.

Qualification / Local Regulatory Papers	
Bangladesh	University of Dhaka Institute of Business Administration BBA Program
	University of Dhaka Institute of Business Administration IBA Program
	University of Dhaka Institute of IBA Executive MBA Program
Bahrain	MCX-SX Certified Commodity Professional (MCCP)
Canada	Canadian Securities Institute Conduct and Practices Handbook Course (CPH)
China	PRC Securities Association of China Securities Regulations examination
Cyprus	Cyprus Regulatory exam
Dubai	DIFC Rules & Regulations examination
Germany	Certified Eurex Exchange Trader examination
Guernsey	Guernsey Business School /Isle of Man College International Diploma in Financial Administration (IDFA)' -
Hong Kong	PRC Securities Regulations examination (Securities Association of China) OR Hong Kong Securities Institute (HKSI) HKSI LE Paper 1 (Licensing examination for Securities and Futures Intermediaries Paper 1 "Fundamentals of Securities and Futures Regulation")

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Qualification / Local Regulatory Papers	
	Hong Kong Securities Institute (HKSI) Certificate in Business Support Management
India	BSE Certificate on Derivatives Exchange
	BSE Certification on Stock Markets (BCSM)
	BSE Certification on Central Depository (BCCD)
	MCX-SX Certified Commodity Professional (MCCP)
Kingdom of Saudi Arabia	Saudi Capital Market Rules and Regulations – Corporate Finance
	Saudi Capital Market Rules and Regulations – Corporate Finance (Arabic)
Kuwait	Kuwait Rules & Regulations
	Kuwait Rules & Regulations (Arabic)
Malta	Maltese Financial Regulation
Malaysia	Securities Industry Development Corporation (SIDC) Securities Commission Malaysia (SC) Corporate Finance Examinations Modules 12 + 19A +19B / 12 + 19
Mauritius	University of Mauritius Masters of Business Administration (Financial Services) Module: DFA 6240 <i>Financial Institutions and markets</i>
Ireland	The Irish Stock Exchange (ISE) Regulatory Environment examination (run by Institute of Bankers of Ireland)
Pakistan	ICM Analysts Certification Programme (ACP) Pakistan Markets and Regulation Examination (Module 1)
Qatar	Qatar Financial Centre Rules and Regulations

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Qualification / Local Regulatory Papers	
	Qatar Financial Markets Authority Regulations
	Qatar Financial Markets Authority Regulations (Arabic)
Singapore	Institute of Banking & Finance (IBF) / Capital Markets & Financial Advisory Services (CMFAS) Module 1A or 1B Rules & Regulations for Dealing in Securities (administered by Singapore College of Insurance)
	Institute of Banking & Finance (IBF) / Capital Markets & Financial Advisory Services (CMFAS) Module 5 "M5 - Rules And Regulations For Financial Advisory Services" (administered by Singapore College of Insurance)
	Institute of Banking & Finance (IBF)/ Capital Markets & Financial Advisory Services CMFAS Module 2 Rules & Regulations Trading for Dealing in Fund Management
	Capital Markets & Financial Advisory Services (CMFAS) <u>Module 2</u> Rules & Regulations Trading in Futures Contracts
	Capital Markets & Financial Advisory Services (CMFAS) <u>Module 3</u> Rules & Regulations for Fund Management
	Capital Markets & Financial Advisory Services (CMFAS) <u>Module 4A</u> Rules & Regulations for Advising on Corporate Finance
	ACI (The Financial Markets Association) Singapore SOTC (Settlements Operations Training Course) Essential (<i>was</i> SOIC) OR *SOTC Advanced (<i>was</i> SOTC) OR *MOTC (Middle Office Training Course)
	ACI (Financial Markets Association) SMU FICS Financial Markets Certificate
South Africa	South African Institute of Financial Markets (SAIFM) - "The Regulation of South African Financial Markets" unit of the Registered Persons Exam

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Qualification / Local Regulatory Papers	
Sri Lanka	University of Colombo Bachelor of Business Administration FIN-2100-Financial Sector Regulations in Sri Lanka
	Financial Services Academy FSA Series 3 - Financial Regulations & Ethics
	University of Jayawanapura COM 3301: Corporate Law (course module within Bcom (Special Degree) or BSc Operations and Technology Management (Special) Degree programme
Tunisia	Réglementation Boursière et Financière Tunisienne
United Arab Emirates	UAE Financial Rules and Regulations
	UAE Financial Rules and Regulations (Arabic)
United States	Series 17 Registered Representative examination