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Technology in Investment Management

What is Technology in Investment Management?

Technology in Investment Management has been developed for IT staff working in financial services, who wish to develop their knowledge and understanding of IT needs specific to the financial services arena. The securities sector is dependent on technology and this qualification aims to address the challenges of managing IT systems and covers best practice techniques used in financial services.

This specialist qualification provides firms with a benchmark from which to assess an employee's knowledge and competence and offers those engaged in IT a broader understanding of the information technology needs of a firm in this sector.

Who should study for the qualification?

Suitable for all staff working in IT within the financial services sector. It is of particular interest to those who are new to, or hoping to move into, financial services.

Ofqual approval

The Technology in Investment Management Qualification is regulated by Ofqual (on its Regulated Qualifications Framework) as the CISI Level 3 Award in Technology in Investment Management. The Total Qualification Time for this qualification is 81 hours. Visit <https://register.ofqual.gov.uk/>

On the European Qualifications Framework this equates to a level 4 qualification. For further information on the European Qualifications Framework, please visit cisi.org/eqf

Key features

- **Specialist qualification** – the first qualification developed specifically for IT staff working in the securities and investment sector
- **IT focused** – provides a comprehensive overview of the role of IT in front office and operations departments
- **Ideal for induction purposes** – suited for use as part of an induction programme for IT staff
- **Enhances internal communication** – by encouraging greater understanding and communication between IT and other departments
- **Valuable benchmark qualification** – ensuring IT staff understand the industry and finance terminology
- **Flexible study options** – can be taken as a stand-alone award or as part of the Investment Operations Certificate (IOC)
- **Free CISI Student membership** – become associated with a chartered professional body and take advantage of an extensive range of benefits
- Exams available online via **remote invigilation** or at one of our **global test centres**

Syllabus Summary

- Technology in Investment Management
- The Regulatory Framework
- Technology and the Functional Flow of Financial Instruments
- The Role of Technology in the Front Office
- The Role of Technology in the Pre-Settlement Phase
- The Role of Technology in the Settlement and Post-Settlement Phases
- The impact of Technology on Financial Control
- Technology Management
- Managing Business Change
- Technology Services Procurement

Regulatory approval

The CISI is recognised as an Awarding Body by the UK Office of the Qualifications and Examinations Regulator. Many of the CISI's qualifications are mandated by different regulators around the world, so both individuals and firms can be confident that the CISI's processes, policies and systems meet rigorous quality assurance standards.

Studying for Technology in Investment Management

Preparation, using the accompanying CISI workbook, requires a minimum of 80 hours of study time.

You can choose to self-study for the exam using the CISI workbook and elearning or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit, [cisi.org/atp](https://www.cisi.org/atp)

The CISI's workbook policy requires, where available, the most up-to-date CISI workbook to be purchased when booking an exam, including by an ATP on your behalf. This applies to all candidates sitting CISI exams in the UK (includes Northern Ireland, Guernsey, Jersey and Isle of Man). Candidates sitting CISI exams outside of the UK will receive the most up-to-date workbook for that subject in PDF format and this is included in the international exam price. Candidates who are not currently CISI members receive one year's free CISI student membership and enjoy access to an extensive range of professional benefits. Visit [cisi.org/membership](https://www.cisi.org/membership)

MyStudy

The CISI has a variety of tools and information available to help you in the preparation for sitting your exam. You can find sample papers, study tips and exam information - all located in one place, visit [cisi.org/mystudy](https://www.cisi.org/mystudy)

Assessment

Technology in Investment Management is assessed via a one-hour examination, comprising 50 multiple-choice questions. Exams can be taken remotely or at one of our Computer Based Testing (CBT) centres. Visit [cisi.org/cbt](https://www.cisi.org/cbt) to find your nearest test venue and [cisi.org/remotexams](https://www.cisi.org/remotexams) to find out more about sitting remotely.

Book your exam

Online – [cisi.org/tim](https://www.cisi.org/tim)
 By phone – +44 20 7645 0777
 Via email – customersupport@cisi.org

Don't forget to set your results preferences afterwards at [cisi.org/resultsdashboard](https://www.cisi.org/resultsdashboard)

Visit [cisi.org/prices](https://www.cisi.org/prices) for current prices

Next steps

CISI Membership

Upon successful completion of Technology in Investment Management you will have the opportunity to upgrade to Affiliate membership. CISI membership enables you to develop your career, increase your competence and maintain the highest standards of personal integrity.

Visit [cisi.org/membership](https://www.cisi.org/membership)

Further Qualifications

Investment Operations Certificate

The Technology in Investment Management exam can be taken as part of the Investment Operations Certificate (IOC).

Visit [cisi.org/ioc](https://www.cisi.org/ioc)