DIPLOMA IN INVESTMENT COMPLIANCE
EXAMINATION ENTRY FORM

All exam prices include a hard copy version of the workbook. Please see note 10 for further details.

REGISTRATION FEE:
The registration fee is a single payment for every candidate taking an examination. Once the fee is paid in respect of a named candidate there is no repeat of this fee required, no matter how many times a candidate takes CISI examinations, including other CISI qualifications. The Registration Fee includes student membership which is provided for a full 12 months from exam booking date (Note 1).

UK £58 International £33

EXAM

Module 1: Introduction to Investment
Module 2: UK Regulation and Professional Integrity
Module 3: Global Financial Compliance
or Combating Financial Crime
or Risk in Financial Services
Module 4: Regulation & Compliance

WORKBOOK POSTAGE (MAINLAND UK FREE POSTAGE) £16 (Isle of Man) £19 (Channel Islands)

REVISION AID (tick this box to include Revision Express Interactive at discount price when booking your exam and workbook) £16

£ TOTAL (A+B+C+D) (all prices include VAT where applicable): £

1. STUDENT MEMBERSHIP: For details of the benefits of CISI student membership please consult the CISI website: cisi.org/mgrade.
2. CHANGE OF NAME: If you have changed your name since your last exam please send us a copy of the relevant documentation, eg, marriage certificate, marked for the attention of the Data Integrity Executive. Failure to submit the relevant documentation may result in refusal of entry to an exam or incorrect exam certificate being provided.
3. TRANSFER AND WITHDRAWAL POLICY: Before booking your examination place, please read the Transfers, Withdrawals, Resits & Late Entries policy (9) on the CISI website: cisi.org/exampolicies.
4. CANDIDATE NUMBER: If you have previously taken examinations with the CISI please insert your Candidate Number if known. Otherwise, leave blank.
5. COMPUTER BASED TESTING EXAMINATIONS (CBT): The opening hours for UK venues only are available on the CISI website. Please note dates cannot be guaranteed as bookings are subject to availability. Early booking is advised. For a full list of CBT venues, please consult the CISI website: cisi.org/cbtmaps.
6. EXAMINATION FEES: VAT not applicable. If this form is more than 12 months old (see issue date on front, in bottom left hand corner), it is advisable to telephone to check that the fees are current. Payment can be made by American Express, Delta, Eurocard, MasterCard, Visa Debit and Visa. Please complete the details below.
7. CHANGE OF ADDRESS: Please notify the CISI promptly of any address change.
8. SPECIAL NEEDS: Candidates with special needs should notify the CISI at least 28 days prior to the examination to allow appropriate arrangements to be made. All requests for extra time must be supported by an original of the medical/educational psychologist’s report at the time of lodging this registration.
9. REGULATIONS: The examination regulations can be viewed on the CISI web site: cisi.org/exampolicies, then select CISI Exam Regulations. You will be sent a link to the examination regulations with your exam entry acknowledgement.
10. PRICES: Prices for UK examination entry includes hard copy of workbook and free pdf soft copy. Additional postage applies. Prices for international examination entry include pdf workbook and Revision Express Interactive. Resist prices are for UK examination entry or international candidates re-sitting the examination.
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DECLARATION:

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