What is ITIO?

ITIO has been developed for IT staff working in financial services, who wish to develop their knowledge and understanding of IT needs specific to the financial services arena. The securities industry is dependent on technology and this qualification aims to address the challenges of managing IT systems and covers best practice techniques used in the industry.

This specialist qualification provides firms with a benchmark from which to assess an employee’s knowledge and competence and offers those engaged in IT a broader understanding of the information technology needs of a firm in this sector.

Who should study for the qualification?

Suitable for all staff working in IT within the financial services industry. It is of particular interest to those who are new to, or hoping to move into, financial services.

Ofqual approval

The IT in Investment Operations Qualification is regulated by Ofqual (on its Regulated Qualifications Framework) as the CISI Level 3 Award in IT in Investment Operations. The Total Qualification Time for this qualification is 81 hours. Visit cisi.org/ofqual

On the European Qualifications Framework this equates to a level 4 qualification. For further information on the European Qualifications Framework, please visit cisi.org/eqf

Key features

- **Specialist qualification** – the first qualification developed specifically for IT staff working in the securities and investment industry
- **IT focused** – provides a comprehensive overview of the role of IT in front office and operations departments
- **Ideal for induction purposes** – suited for use as part of an induction programme for IT staff
- **Enhances internal communication** – by encouraging greater understanding and communication between IT and other departments
- **Valuable benchmark qualification** – ensuring IT staff understand the industry and finance terminology
- **Flexible study options** – can be taken as a stand-alone award, as part of the Investment Operations Certificate (IOC) or combined with the Managing Cyber Security qualification
- **Free CISI Student membership** – become associated with a chartered professional body and take advantage of an extensive range of benefits
Syllabus Summary
- Information Technology in the Securities Industry
- Regulatory Framework
- IT and the Functional Flow of Financial Instruments
- The role of IT in the Front Office
- The role of IT in the Pre-settlement Phase
- The role of IT in the Settlement and Post-settlement Phases
- The Impact of IT on Financial Control
- IT Management
- Managing Business Change
- IT services procurement

Regulatory approval
The CISI is recognised as an Awarding Body by the UK Office of the Qualifications and Examinations Regulator. Many of the CISI's qualifications are mandated by different regulators around the world, so both individuals and firms can be confident that the CISI's processes, policies and systems meet rigorous quality assurance standards.

Studying for the ITIO
Preparation, using the accompanying CISI workbook, requires a minimum of 70 hours of study time. You can choose to self-study for the exam using the CISI workbook and elearning or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit, cisi.org/atp

The CISI’s workbook policy requires, where available, the most up-to-date CISI workbook to be purchased when booking an exam, including by an ATP on your behalf. This applies to all candidates sitting CISI exams in the UK (includes Northern Ireland, Guernsey, Jersey and Isle of Man). Candidates sitting CISI exams outside of the UK will receive the most up-to-date workbook for that subject in PDF format and this is included in the international exam price. Candidates who are not currently CISI members receive one year’s free CISI student membership and enjoy access to an extensive range of professional benefits. Visit cisi.org/membership

MyStudy
The CISI has a variety of tools and information available to help you in the preparation for sitting your exam. You can find sample papers, study tips and exam information - all located in one place, visit cisi.org/mystudy

Assessment
ITIO is assessed via a one-hour examination, comprising 50 multiple-choice questions. The exam is delivered by Computer Based Testing (CBT). A worldwide network of test centres is available, ensuring flexible scheduling, instant results and ease of completing the exam on screen. Visit cisi.org/cbt to find your nearest venue.

Book your exam
Online – cisi/itio
By phone – +44 20 7645 0777
Via email – customersupport@cisi.org
Don’t forget to set your results preferences afterwards at cisi.org/resultsdashboard
Visit cisi.org/prices for current prices

Next steps
CISI Membership
Upon successful completion of the ITIO you will have the opportunity to upgrade to Affiliate membership. CISI membership enables you to develop your career, increase your competence and maintain the highest standards of personal integrity. Visit cisi.org/membership

Further Qualifications
Investment Operations Certificate
The ITIO exam can be combined with the new IOC unit Managing Cyber Security. Candidates who successfully pass both examinations will be awarded the CISI Level 3 Certificate in Cyber Security and Information Technology in Investment Operations. Visit cisi.org/mcs