

CERTIFIED FINANCIAL PLANNER (CFP™)

(Diploma in Advanced Financial Planning)



Why study the Diploma in Advanced Financial Planning?

It is the only globally recognised level 7 qualification for financial planning professionals in the UK. If you want the confidence of knowing you will change your clients' lives for the better by the quality of the service you provide, this is the qualification that will help you create that difference. It's your route to building long term relationships with clients based on trust. Get that right and clients are not only willing to pay for such a service but also to refer you to their friends and colleagues.

Who should study the qualification?

The CISI Diploma in Advanced Financial Planning represents a challenging test of your technical ability by examination, but also assesses how well you can apply this knowledge in order to produce an effective financial plan - your planning skills.

Key features

- **Specialist qualification** – obtain the CERTIFIED FINANCIAL PLANNER™ certification – the global standard of excellence in financial planning
- **MCSI designatory letters** – you will be eligible to apply for full Membership (MCSI) of the Institute upon successful completion of the qualification

Changes to the route to CFP™ certification

A level 6 Diploma in Financial Planning is available until November 2019 and is recognised for CFP™ certification purposes. For more information visit cisi.org/dfp

CISI 
CHARTERED INSTITUTE FOR
SECURITIES & INVESTMENT

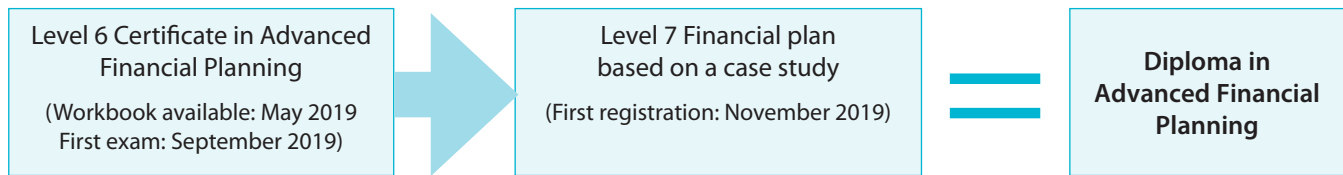


How is the qualification structured?

To be eligible to register for this qualification, you must hold an RDR-compliant qualification (with gap-fill if necessary) for FCA activities 4 and 6. This includes the CISI's Investment Advice Diploma (Financial Planning & Advice).

For further information email FPexams@cisi.org

The qualification is achieved by passing two units (below). Visit cisi.org/dfp for full syllabus and unit details.



The Level 6 Certificate in Advanced Financial Planning must be completed first before candidates can take the Level 7 Financial Plan.

Syllabus Summary

Certificate in Advanced Financial Planning

- The financial planning process
- Financial planning principles
- Financial management
- Tax principles and optimization
- Investment planning/asset management
- Risk management and insurance planning
- Retirement planning
- Estate planning and wealth transfer

Financial Plan based on a case study

Candidates are supplied with a case study which outlines client circumstances. The client(s) to be advised are either retired or pre-retired. A confidential questionnaire is also supplied with the case study which provides further information.

Studying for the Diploma in Advanced Financial Planning

Certificate in Advanced Financial Planning – 200 study hours recommended.

You can choose to self-study for the exams using CISI workbooks and elearning or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit cisi.org/atp

Financial Plan based on a case study

There will be a five day CFP™ Certification Financial Plan Assessment course and elearning package designed for candidates who have already passed the Certificate in Advanced Financial Planning and are about to start the process of preparing their financial plan in response to the case study element of the Diploma in Advanced Financial Planning. Visit cisi.org/dfpstudy for more information.

MyStudy

The CISI has a variety of tools and information available to help you in the preparation for sitting your exam. You can find sample papers, study tips and exam information - all located in one place, visit cisi.org/mystudy

How to register

Step 1: Email fpexams@cisi.org to check you meet the eligibility requirements.

Step 2: If eligible, contact our Customer Support team to purchase the exam and workbook*:

By phone - **+44 20 7645 0777**

Via email - customersupport@cisi.org

Don't forget to set your results preferences afterwards at cisi.org/resultsdashboard

*The workbook for the level 6 exam will be available to purchase from May 2019.

Next steps

CISI Membership

Upon successful completion of the Diploma you will be eligible to become a full Member of the Institute and utilise the designatory letters, MCSI. CISI membership enables you to develop your career, increase your competence and maintain the highest standards of personal integrity. Visit cisi.org/membership

Become a Certified Financial Planner™ professional

Following successful completion of the Diploma in Advanced Financial Planning, you can apply to the CISI to become a CERTIFIED FINANCIAL PLANNER™ professional and use the CFP™ marks subject to certain criteria being met. Visit cisi.org/cfp