Remote exams now available

CISI
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

Diploma in Investment Operations

What is the Diploma in Investment Operations?

The Diploma in Investment Operations is widely acknowledged as the most appropriate qualification for staff wishing to pursue a career in investment operations. It offers individuals the opportunity to specialise in the administration of investment funds and custody and settlement and gain a broad understanding of the wider financial environment. It will enable staff to demonstrate their knowledge and skills and pursue a senior management role within investment operations.

Who should study the qualification?

The Diploma is a valuable qualification for any individual wishing to pursue a career in investment operations and in particular those employed at a supervisory level aspiring to a senior management role. It is suitable for those working in both wholesale and retail roles.

Key features

- **Specialist qualification** – developed specifically for operations professionals in financial services firms
- **Progressive learning** – the next step from the Investment Operations Certificate
- **Tackles challenges and issues** – which practitioners face in the industry and covers best practice techniques to overcome these
- **Career development** – provides you the skills and knowledge to pursue a senior operations role
- **Free Student membership** - become associated with a chartered professional body and enjoy access to an extensive range of benefits, including the CISI Operations Forum
- **MCSI designatory letters** – you will be eligible for MCSI membership of the Institute upon successful completion of the Diploma in Investment Operations
- Exams available online via **remote invigilation** or at one of our **global test centres**

"This is just what the industry needs - a relevant advanced investment operations qualification"

John Hogg MCSI, Department Manager,
Citi and Chairman of Scottish Investment Operations (SIO)

How is the qualification structured?

The qualification is achieved by passing:

Operational Risk + **Managing Operational Risk in Financial Institutions** + **Global Operations Management (three hour written exam)** = **Diploma in Investment Operations**

Visit **cisi.org/dio** for full syllabus and unit details.

Studying for the Diploma in Investment Operations

Operational Risk and Managing Operational Risk in Financial Institutions **up to** 10 November 2025	220 hours
Operational Risk and Managing Operational Risk in Financial Institutions **from** 11 November 2025	170 hours
Global Operations Management	200 hours

You can chose to self-study for the exams or have formal training with one of our Accredited Training Partners (ATP). To find an ATP near you visit **cisi.org/atp**

The CISI's learning manual policy requires, where available, the most up-to-date CISI learning manual to be purchased when booking an exam, including by an ATP on your behalf. This applies to all candidates sitting CISI exams in the UK (includes Northern Ireland, Guernsey, Jersey and Isle of Man). Candidates sitting CISI exams outside of the UK will receive the most up-to-date learning manual for that subject in PDF format and this is included in the international exam price.

Regulatory Approval

The CISI is recognised as an Awarding Body by the UK Office of the Qualifications and Examinations Regulator. Many of the CISI's qualifications are mandated by different regulators around the world, so both individuals and firms can be confident that the CISI's processes, policies and systems meet rigorous quality assurance standards.

Book your exam

Online – **cisi.org/dio**
By phone – **+44 20 7645 0777**
Via email – **customersupport@cisi.org**

Don't forget to set your results preferences afterwards at **cisi.org/resultsdashboard**

Visit **cisi.org/prices** for current prices

Next steps

CISI Membership

If you are not currently a CISI member you will receive free CISI Student membership whilst you study and have access to a wide range of benefits.

Upon successful completion of the Diploma in Investment Operations you will have the opportunity to upgrade to full membership and you will be able to use the MCSI designatory letters.

CISI membership enables you to develop your career, increase your competence and maintain the highest standards of personal integrity. Visit **cisi.org/membership**