

# INVEST in yourself in your future

Qualifications and development opportunities for wealth management professionals in Qingdao via the Chartered Institute for Securities & Investment (CISI) and the Shanghai University of Finance and Economics (SUFE) supported by the municipal government following the designation of Qingdao as a centre of wealth management.

The leading body for securities, investment, wealth and financial planning professionals

Headquartered in London and supported worldwide by offices in Ireland, the UAE (Dubai), Spain, Singapore, India & many other countries

Chairman, Sir Alan Yarrow, was Lord Mayor of the City of London in 2014-15

Formed in 1992 by the London Stock Exchange and granted a Royal Charter by Her Majesty the Queen

## About the CISI



A charitable not-for-profit organisation

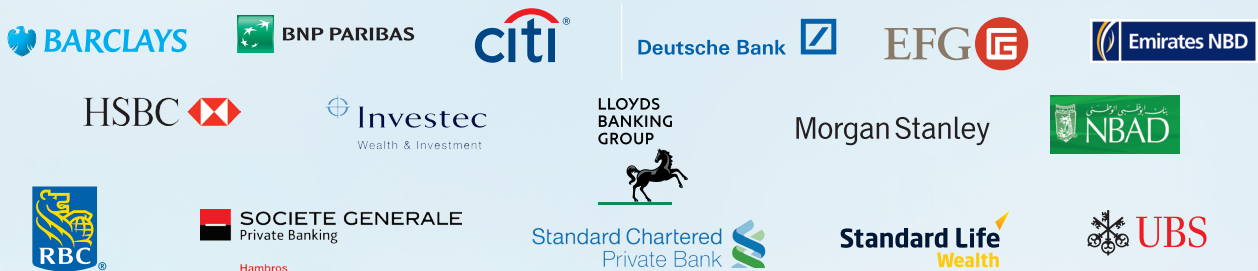
CISI exams recognised by over 40 financial service regulators globally

More than 40,000 CISI exams sat in 80 countries annually

42,000 members in 116 countries

Almost 50,000 integrity tests taken by CISI members

The CISI has over 100 corporate supporters, including:



## About SUFE

A top-ranked, world-renowned research university

Founded in 1917 – oldest financial university in China

CISI Accredited Training Partner (ATP)



Among the first nine schools to be selected as an MBA Experimental Education Base and one of the first to set up a post-doctoral programme in economics

First financial university awarded the right to grant doctoral degrees, in 1981



The CISI offers qualifications for those working in wealth management, including the popular International Certificate in Wealth & Investment Management

International Certificate in Wealth & Investment Management (available in Mandarin and English)

- Syllabus
- The financial services industry
  - Industry regulation
  - Asset classes
  - Collective investments
  - Fiduciary relationships
  - Investment analysis
  - Investment management
  - Lifetime financial provision

- Study
- Focuses on a basic knowledge of the eight topic areas and simple analysis using:
- Investment tools
  - Small, face to face classes
  - Wealth management theory and practice training
  - Topic tests

Study time A minimum of 130 hours of study time is recommended

Assessment Two-hour multiple-choice exam, tested by computer at a center in Qingdao.

Who is it for? The qualification's broad focus on wealth and investment management makes it suitable for a wide audience – from new entrants to financial services to professionals already working in the industry who may be interested in diversifying into wealth management. It is ideal for those who wish to develop an understanding of how to deliver wealth management advice.



#### Study options

Option A: Self-study using CISI workbook

Option B: Register for training with SUFE

#### How to register

Register for the qualification and book your exam directly with SUFE at [qwmi.shufe.edu.cn](http://qwmi.shufe.edu.cn) or by contacting:

Linli Xie  
Telephone: 0532-88963599    Mobile: 18562795618  
Email: [xie.linli@msg.sufe.edu.cn](mailto:xie.linli@msg.sufe.edu.cn)

CISI qualifications and membership enable professionals to demonstrate to both clients and employers that they are qualified to provide consistently high-quality advice of an international standard.

After taking the International Certificate in Wealth & Investment Management, individuals can choose to consolidate their knowledge by studying for the CISI Certificate in Advanced Wealth Management, which provides advanced knowledge of key wealth management principles. There is then the opportunity to progress further still to the specialist Chartered Wealth Manager qualification, which is a postgraduate-level qualification (currently only available in English).

### Membership of the CISI

When registering to study, student membership of the CISI is activated, along with access to a range of membership benefits for one year. Holders of the certificate may apply to become an Associate (ACSI) member of the CISI, and eventually progress to become an individually Chartered Member.

