



CISI 
CHARTERED INSTITUTE FOR
SECURITIES & INVESTMENT

Student Membership
2016/17

Stop!

Before you read anything else follow us on



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[cisi.org/youtube](https://www.youtube.com/c/cisiorg/)

Network, suggest ideas, ask questions or simply stay up to date with all CISI activities by following us on Twitter, Instagram and LinkedIn - add your membership and designatory letters to your profile.

Use #TheCISI to speak with us.

The Global Professional Body of Choice for the Financial Services Industry

Contents

MyCISI & Student events	4
Continuing Professional Development (CPD)	5
CISI TV	6
Professional Refresher	7
Events, Professional Forums & Interest Groups	8
Conferences	9
CPD Training Courses & Workshops	10
The Review Member's Industry Magazine	11
IntegrityMatters	12
Exam Preparation	13
CISI Membership	14

Welcome to the CISI

When you register for one of our exams you will automatically receive a one year CISI trial Student Membership. This gives you the opportunity to take advantage of everything the CISI has to offer!

About Us

The Chartered Institute for Securities & Investment is the leading professional body for securities, investment, wealth and financial planning professionals. The CISI has been dedicated to professionalism since it emerged from the London Stock Exchange in 1992.

The CISI believes professionalism is the blend of three elements:



I chose to take a CISI qualification because I knew that it would help my career. I was able to increase my understanding about the Financial Services industry and to gain a qualification that would help me be comparable against my peers

Olayinka Lawson

I feel it is important to differentiate yourself within the financial services industry. CISI membership and qualifications help me achieve this.

CISI Student Member

Student Membership Benefits

You have many valuable benefits to assist you in your studies and career development. Here is a breakdown of what we offer Student members:

MyCISI

This is your one-stop personalised membership portal that gives you access to all of your Student membership benefits. cisi.org/mycisi

Watch CISI TV to catch up with events, industry news and industry specialist's insights

Log your Continuing Professional Development (CPD) and view your yearly CPD target

Book CISI events - counts towards CPD hours

Update and change your personal information

Review past/upcoming events, exams and qualifications

Read CISI publications, *The Review* and *Investment Management Review*, to stay up to date with key industry news

Networking and social events

Networking and social events for Student members throughout the year are held in prominent locations. Finance Industry specialists and business consultants are often invited to give their views on current trends and topics. These are well attended events and provide you with the opportunity to network with others studying CISI qualifications and senior finance practitioners. To find out about upcoming events, please visit cisi.org/studentevents



Professional Membership Benefits

What is CPD?

CPD stands for continuing professional development and is used in many industries. It is a way of continuously updating your professional knowledge, personal skills and competencies through training, attending conferences and reading industry journals.

Continuing Professional Development (CPD) Scheme

The CISI CPD scheme provides guidance to the types of activities which should be undertaken to maintain your competence. Through the CISI CPD log, we will automatically record all of your CISI CPD activities in one centralised record where you can add additional non-CISI CPD hours.

Keeping a record of your CPD activities is important to prove your commitment to maintaining competence to both your firm and the regulator.

The Institute recommends that members complete a minimum of 35 CPD hours per year, of which a minimum of 21 must be structured and a maximum of 14 may be unstructured. Explore all the ways you can gain CPD hours at cisi.org/cpdscheme. You may be already earning more hours than you thought!

Structured

Structured CPD is defined as an activity undertaken for the express purpose of learning. Examples:

- Attending CPD events
- Watching webcasts
- Undertaking exams/tests

Unstructured

Unstructured CPD includes unplanned activities, where there is no pre-determined learning objective and outcome. Examples:

- Undertaking research
- Reading publications
- Exam revision

Completion of full CPD years also provides members with a number of opportunities. Alongside the correct qualification, it allows members to apply for a Statement of Professional Standing – necessary for all practising retail investment advisers regulated by the FCA, and, alongside other criteria, enables members to apply for an Individual Charter.

We can help you on your way to becoming a Chartered member in the financial services industry.

CISI TV

CISI TV allows you to watch a selection of our most popular CPD events online, anywhere, anytime.

Available exclusively to members, by simply logging into MyCISI, you can catch up on CISI events whilst earning reflective CPD hours. And the best part is, your CPD hours will automatically be recorded to your online CPD log. CISI TV is available to view on all devices, making it even easier for you to keep up to date with CISI events and earn CPD hours.

CISI TV highlights...

Over 150 CISI TV videos and counting...

Videos on:

Compliance & Risk | Capital Markets & Corporate Finance | Financial Planning & Paraplanning | Integrity & Ethics | Wealth Management | Operations

Slides linked automatically to speaker presentations

Easy-to-use navigation tools

Interviews, comments and updates from key industry practitioners

Earn CPD hours



Tune into CISI TV today! Visit [cisi.org/cisityv](https://www.cisi.org/cisityv)

Professional Refresher

Professional Refresher is a great online learning tool which allows self-administered refresher testing on a variety of topics, including the latest regulatory changes. More than 75 modules are available and all have been developed to offer information at both an introductory and an advanced level.

At the end of each module there is a voluntary test which can help you to determine your level of knowledge. The tests can also be used to satisfy firm and regulatory requirements and use of Professional Refresher is automatically recorded on your CPD log.



Module topics

Anti-Money Laundering (UK & Global)

Approved Persons Regime

Best Execution

Central Clearing

Commodities and Energy Markets

Corporate Actions

Client Classifications

Customer Complaints Procedures

Data Protection

Exchange Traded Funds

Financial Crime

Financial Ombudsman Service

Financial Promotions

Inducements and Dealing Commissions

Information Security

Insolvency & Bankruptcy

Insurance

Integrity & Ethics

Investment Business on the Internet

Investment Principles and Risk **[RDR compliant]**

Islamic Banking

Market Abuse (UK & Global)

Pensions and Provision

Permissions Regime

Price Stabilisation (UK & Global)

Regulation & Ethics **[RDR compliant]**

Sanctions and Global Markets

Sovereign Wealth Funds

Suitability of Client Investments

Taxation **[RDR compliant]**

Training and Competence

Treating Customers Fairly

Trusts

UK Bribery Act

UK Regulatory Structure

Recently released

Client Assets & Client Money

Corporate Governance

Derivatives

Foreign Account Tax Compliance Act

Hedge Funds

Visit cisi.org/profref

CPD Events

As a Student member, you are entitled to attend CPD events. Check what's coming up at cisi.org/events. The CISI CPD events programme is one of the widest reaching in the financial services industry, attracting delegates from all levels.

More than 500 global events each year:

Investment Management

Financial Planning

Private Client Wealth Management

Compliance

Ethics

Corporate Governance

Corporate Finance

Operations

Human Resources.

Student members' are entitled to attend CPD events. Check what's coming up at cisi.org/events. Book an event now: cisi.org/shop or call +44 (0)20 7645 0777.

Professional Forums

Attend any of our nine Professional Forums where you can listen to presentations from fellow practitioners regarding industry news and topics.

European Regulation Interest Group (ERIG)

Bond Interest Group (BIG)

IFP Professional Forum

Compliance

Corporate Finance

Financial Technology

Operations

Risk

Wealth Management

These highly regarded forums enable you to meet with other CISI members, listen to presentations from practitioners in the industry, engage in open discussion, and share ideas and concerns in a confidential setting, which are conducted under the Chatham House Rule. A rule to which information disclosed during a meeting may be reported by those present, but the source of that information may not be identified.

cisi.org/pifs

Interest Groups

Student members can attend one meeting of each of the CISI's two Interest Groups – Training & Competence and Paraplanner – per year. Both groups are open to members and non members and attendance counts towards members' CPD targets. The Training & Competence group is conducted under the Chatham House Rule, allowing the discussion of sensitive issues in a confidential setting.

cisi.org/interestgroups

Meet, participate and learn in your local area or globally!



Conferences

The CISI organises a number of conferences on up-to-the-minute topics in the financial markets. These events attract high-level delegates from around the world, providing an excellent networking opportunity.

Look out for emails which will confirm dates and speaker details prior to the events. Or for further information, visit cisi.org/conferences

Book online now: cisi.org/events or call +44 (0)20 7645 0680

Hot Tip!

Associate (ACSI) members of the CISI can attend unlimited CPD events and enjoy 25% off all CISI CPD Training Courses. MCSI members receive 30%. Associate membership is available to all Student members once achieving a qualifying level CISI qualification or an equivalent qualification from another professional body. Visit cisi.org/membership for eligible qualifications.

CPD Training Courses and Workshops

20% off one course

We offer one-day and half-day CPD Training Courses and Workshops to provide you with the opportunity to build your skills and knowledge to support you throughout your career. Our topical courses are led by expert trainers which provide an opportunity to learn in an interactive environment where we limit class size to ensure you receive the best training possible.

Gain valuable skills

Earn up to six CPD hours

Face-to-face learning

iPads used to enhance learning

Small class sizes

High quality courses

Auto-recorded CPD hours

Fulfil annual CPD requirements

Network with peers in the same field

Look out for emails which will confirm dates and other details prior to the events. For further information, cisi.org/courses

Cybercrime Demystified; a non-technical introduction for everyone

Introduction to financial markets

Social selling masterclass - how to use LinkedIn as a marketing, business development and client acquisition Tool

Client Assets and Client Money (CASS)

Managing Training, Competence and Professionalism

MiFID II - for wholesale/retail firms

Suitability and appropriateness - avoid miss-selling

Risk-based customer due diligence (CDD)

Conflicts of interest - understanding and managing the risk

Behavioural Economics – the FCA, you and your clients

cisi.org/courses or call +44 (0)20 7645 0680





The Review

The Review is the official magazine of the CISI and is available exclusively to members. Each edition features hot topics in the financial industry, interviews with high-profile industry figures, members' news, CPD articles, change in regulations and our Grey Matters series on ethics.

Student members receive the digital version of the magazine each month and this can be accessed at cisi.org/thereview

Jobsonline

Jobsonline is a career-listing service provided by the CISI and is populated with jobs from a number of top financial recruitment agencies.

Available to CISI members, you can set up daily email alerts to enable you to be the first to receive information on new jobs listed in you selected search criteria.

Visit cisi.org/jobsonline and find the perfect career opportunity for you!

IntegrityMatters

IntegrityMatters is an intelligent and tailored elearning tool designed to highlight dilemmas you may face at work. It enables you to test your ability to make ethical decisions in the workplace and consists of both a workshop and a full test. By participating in the programme, you will be required to tackle six separate case studies which develop depending on how you answer the question.

Up to two hours CPD (dependant on test score) will be recorded automatically to your online CPD record.

By passing our IntegrityMatters test you are one step closer to achieving Chartered Status.

Access IntegrityMatters now: cisi.org/integritymatters

I have found the many membership benefits offered by the CISI to be of value and assistance, not purely for CPD but also from a personal and professional interest point

Neil Mathias,
Chartered MCSI
Compliance Professional,
Brooks Macdonald



Exam Preparation

Some hints and tips to help you prepare for your exam...

Study Resources

CISI workbooks and ebooks are available to assist you to revise for Institute qualifications. They highlight key areas to review and offer guidance on important regulatory and technical aspects of the relevant subject. Visit [cisi.org/studyhelp](https://www.cisi.org/studyhelp)

CISI Revision Express Interactive is an engaging online study tool to be used in conjunction with CISI Learning Manuals. IT contains graphics, interactive exercises, useful website links and revision questions. Visit [cisi.org/studyhelp](https://www.cisi.org/studyhelp)

MyStudy

A designated page with your membership portal, MyCISI, to assist you with all your exam preparation, help and advice:

- on your exam day
- during your exam
- and after your exam

You can also find sample papers and extra revision materials to help you read more around the topic of your specific exam. Visit [cisi.org/mystudy](https://www.cisi.org/mystudy)

Tips from the Examiners

Be realistic about how long it will take to study. We publish recommended study hours for all exams so use them as a guide.

Read around the subject, particularly current media coverage, to broaden your understanding.

Test yourself by answering questions in the study packs and if examiners' reports are published, read them carefully; you could learn from the mistakes of others.

In the exam, know how much time to spend on each question. In multiple-choice exams you normally have just over a minute per question. In narrative exams check the maximum points available for each question; this is a good indication of how much time you should dedicate to each.

Try answering the questions you find easy first; it often makes you feel more confident.

When answering longer questions, it is a good idea to make a rough draft to plan your answer.

If your test is a multiple-choice exam delivered via computer-based testing, you'll receive your result on your way out. Results of narrative exams are normally available 6-12 weeks after the exam.

Upgrading your Membership

Student membership lasts for **one year**, this starts from the date you book your first CISI exam and fill in the **Qualifications Registration Form**.

You may be entitled to join the CISI at Associate level once you have passed your qualification.

If you have successfully completed the Masters in Wealth Management, CISI Diploma, Diploma in Investment Compliance, Diploma in Investment Operations or the Level 6 Certificate in Private Client Investment Advice & Management, you are eligible to join the Institute as an MCSI member.

Annual membership starts from £130.

Type of CISI Membership	Typical Profile	Membership Level Requirements	CPD Requirements
Student	Available to all candidates that book a CISI exam.	None required	None required
Affiliate	Affiliate membership is ideal for those whose job role does not require a specialist financial qualification	None required	10 hours ¹
Associate (ASCI)	This level of membership is suited to professionals with industry experience and are looking to progress to more senior roles.	A qualifying level CISI qualification (cisi.org/acsiquals) or an equivalent qualification from another professional body (cisi.org/otherquals)	10 hours ¹
Member (MCSI)	Attaining full member status shows a high level of knowledge in the industry and commitment to professionalism, integrity and excellence.	Higher-level (level 6/7) qualifications (cisi.org/mcsiquals) or those who have extensive experience within the industry - please go to cisi.org/exp for more info	10 hours ¹
Chartered Member	This is the first level of Individual Charter with the CISI. A Chartered member is recognised as the peak of professionalism.	MCSI membership + 1 year CPD. ACSI membership + Level 6/7 CISI qualification + 1 year CPD ACSI membership + Level 4/5 qualification + 2 years CPD ACSI membership + Level 3 qualification + 3 years CPD	35 Hours ²
Chartered Fellow	Chartered Fellow status is the highest level of CISI membership.	MCSI membership + Level 6/7 CISI qualification + 3 years CPD Chartered MCSI membership + Level 6/7 CISI qualification + 2 years CPD	35 Hours ²

Passing IntegrityMatters is a requirement of Chartered membership.

1. 6 hours' minimum being structured, with one hour being in Ethics, which can be structured or unstructured.
2. 21 hours' minimum being structured, with 3.5 hours in Integrity and Ethics

Becoming a CISI Member

1. Pass your qualification.
2. If you are eligible, look out for your membership upgrade email when you have passed your exam. Complete the online application form or download your own form at any time from [cisi.org/mforms](https://www.cisi.org/mforms)
3. Return your form to us online or post it to:

Membership Department

Chartered Institute for Securities & Investment
20 Fenchurch Street, London EC3M 3BY

applications@cisi.org

...and we'll make it happen!

If you have any questions about Student membership or about upgrading your membership please get in touch.

Website: [cisi.org/membership](https://www.cisi.org/membership)

Telephone: +44 (0)20 7645 0600

Email: customersupport@cisi.org

Did you know?

Many firms support CISI membership as it is recognised as a valuable tool to help their employees develop and progress with their careers.

Why not speak to your line or training manager to see if your firm will cover the cost?

We wish you every success, don't forget we're here to help!

For all enquiries relating to membership, benefits and how to upgrade, please contact Customer Support:

Telephone: +44 (0)20 7645 0777 Fax: + 44 (0)20 7645 0601
Email: customersupport@cisi.org Website: cisi.org/membership

20 Fenchurch Street, London EC3M 3BY

We would love to hear from you!

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