

# Individually Chartered Member Upgrade Form

[All questions to be answered and printed in ink and in block capitals]

CISI Candidate / Membership number: \_\_\_\_\_

I wish to apply to upgrade my membership in accordance with the Membership Regulations (please tick)  Chartered MCSI  Chartered FCSI

(Details of upgrade criteria can be found on our website [cisi.org](http://cisi.org))

CISI MUF ©2018 version 1

## 1. Personal details

Title \_\_\_\_\_  
First name(s) \_\_\_\_\_  
Surname \_\_\_\_\_  
Private address \_\_\_\_\_  
\_\_\_\_\_ Postcode \_\_\_\_\_  
Tel. \_\_\_\_\_ Mobile \_\_\_\_\_  
Email \_\_\_\_\_  
Date of birth \_\_\_\_\_  
Nationality \_\_\_\_\_  
Former name(s) if any \_\_\_\_\_

## 2. Work details

Firm name \_\_\_\_\_  
Job title \_\_\_\_\_  
Department \_\_\_\_\_  
Firm address \_\_\_\_\_  
\_\_\_\_\_ Postcode \_\_\_\_\_  
Direct tel. \_\_\_\_\_  
Direct fax. \_\_\_\_\_  
Email \_\_\_\_\_

## 3. Contact information (tick one)

Correspondence to be delivered to:  Work  Home  
Who will pay annual subscription?  Firm  Self  
If firm, please provide the following information: Contact name: \_\_\_\_\_  
Dept: \_\_\_\_\_ Cost centre: \_\_\_\_\_  
Address (if different from above): \_\_\_\_\_  
\_\_\_\_\_

## 4. Communications Preferences Please tell us what information you would like to be contacted about

**Your Membership:** Membership Upgrades  CPD, Networking and Social Events  Online Learning  Member Survey   
The Review Digital Articles  The Review Print Magazine  Member Updates and News   
**Additional Events:** Training Courses  Conferences  Branch Dinners   
**Study:** Qualifications Bulletin  New Qualifications and Pathways  Revision Tools   
**Other:** Research Surveys  Jobs Online   
**Telephone Communications:** Membership  Qualifications  Events   
**Professional Forums and Interest Groups events in London:**  
Bond  Compliance  Corporate Finance  International Regulation  Financial Planning  FinTech  Operations   
Risk  Wealth Management  Young Professionals  Training and Competence  Paraplanner

**Membership Directory:** Opt in Membership Directory

## 5. Statement of eligibility

I confirm the following (please tick)

I have been an ACSI / MCSI / Chartered MCSI / FCSI member for a minimum of one year and I have passed the IntegrityMatters test (required for all applications)

If applying for **Chartered MCSI** status, please confirm which of the following criteria you have met:

- I have logged three full consecutive years' CPD\* as an ACSI  
 I have a CISI level 4/5 qualification and I have logged two full consecutive years' CPD\* as an ACSI  
 I have a CISI level 6/7 qualification and I have logged a full year of CPD\* as an ACSI  
 I have logged a year of CPD\* as an MCSI/FCSI

If applying for **Chartered FCSI** status, please confirm the following criteria is met:

- I have a CISI level 6/7 qualification and I have logged 3 full consecutive years' CPD\* as a Chartered MCSI/MCSI/FCSI

\* Must be the CISI's CPD scheme or a firm's scheme accredited by the CISI. CPD must be successfully logged in consecutive years.

### For office use only

MEM \_\_\_\_\_  
CPD \_\_\_\_\_  
IM \_\_\_\_\_  
QUAL \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

**6. Disciplinary history** (tick one)

I have been convicted of a criminal offence

Yes  No

Please note that you do not need to disclose protected convictions that are filtered from a standard and enhanced Disclosure and Barring Service (DBS) check.

I have been adjudged bankrupt or insolvent or compounded with my creditors.

Yes  No

I have been subject to disciplinary proceedings by the FCA, other regulator or any professional body within the past five years.

Yes  No

Please provide details with your application if you have responded Yes to any of the above.

**7. Declaration**

1. On applying to become a member of the Chartered Institute for Securities & Investment I agree to abide by the Royal Charter, Bye-laws, Regulations, and to uphold its high standards as published in its Professional Code (all available from website). Any breach of the Regulations may give rise to disciplinary procedures and termination of my membership
2. I am aware that CPD is mandatory for CISI members and agree to undertake CPD on an annual basis meeting the CISI CPD requirements to maintain my membership. I understand non-compliance of the CISI CPD requirements can lead to my membership being suspended.
3. If not already achieved, to activate my membership I agree to pass IntegrityMatters. I understand that if not completed within 3 months of joining the CISI my membership will be suspended.
4. I know of no reason why I should not become a member

Signature: \_\_\_\_\_

Name in full: \_\_\_\_\_

**8. Payment** (Please complete as appropriate)

The upgrade fee is £30, plus the difference in annual subscription between your current subscription fee and the category of Membership for which you are applying. The upgrade fee and subscription fee are payable at the time of upgrade. **Thereafter, subscriptions are due annually on 1st April**, and may be paid by direct debit.

I would like to upgrade from (please choose one option only)

ACSI to Chartered MCSI £90.00

MCSI to Chartered MCSI £30.00

MCSI to Chartered FCSI £50.00

Chartered MCSI to Chartered FCSI £50.00

FCSI to Chartered FCSI £30.00

(There is no pro-rata arrangement)

Receipt required

Please note that no upgrades can be processed where there is an outstanding subscription due for the current year.

**Payment by firm:** Firm reference: \_\_\_\_\_

I authorise payment for membership to be invoiced to our general account:

Print name: \_\_\_\_\_

Signed: \_\_\_\_\_

HR department \_\_\_\_\_

**Payment by cheque:**

Cheques should be made payable to:

'Chartered Institute for Securities & Investment Ltd' and crossed 'Account Payee only'.

Cheque attached

**Payment by Card:**

I wish to pay by: \***American Express/Delta/Eurocard/MasterCard/Maestro/Visa**

\*Delete as applicable

I authorise you to debit my account with the amount of \_\_\_\_\_

**Please Note:** There is no fee for Visa Debit or Delta cards.

Card number:

Security code.:      \*

Expiry date: \_\_\_/\_\_\_/\_\_\_

Maestro/AMEX issue date: \_\_\_/\_\_\_/\_\_\_

Maestro only issue No: \_\_\_\_\_

\* If you do not wish to send your credit card information via the post, please contact customer support - Telephone +44 (0)20 7645 0777

Card holder's name: (if different to that in Section 1 of this form) \_\_\_\_\_

Signature: \_\_\_\_\_

**For office use only**

Date received: \_\_\_\_\_

Membership No: \_\_\_\_\_

Date processed: \_\_\_\_\_

Membership Official Initials: \_\_\_\_\_

Notes: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Please post, fax or email this form to:**

Customer Support Centre, Chartered Institute for Securities & Investment, 20 Fenchurch Street, London EC3M 3BY Fax: +44 (0)20 7645 0601

**Any questions?** applications@cisi.org / +44 20 7645 0777