

Individually Chartered Member Upgrade Form

[All questions to be answered and printed in ink and in block capitals]

CISI Candidate / Membership number: _____

I wish to apply to upgrade my membership in accordance with the Membership Regulations (please tick) Chartered MCSI Chartered FCSI

(Details of upgrade criteria can be found on our website cisi.org)

1. Personal details

Title _____
First name(s) _____
Surname _____
Private address _____
_____ Postcode _____
Tel. _____ Mobile _____
Email _____
Date of birth _____
Nationality _____
Former name(s) if any _____

2. Work details

Firm name _____
Job title _____
Department _____
Firm address _____
_____ Postcode _____
Direct tel. _____
Direct fax. _____
Email _____

3. Contact information (tick one)

Correspondence to be delivered to: Work Home
Who will pay annual subscription? Firm Self
If firm, please provide the following information: Contact name: _____
Dept: _____ Cost centre: _____
Address (if different from above): _____

4. Communications Preferences Please tell us what information you would like to be contacted about

Your Membership: Membership Upgrades CPD, Networking and Social Events Online Learning Member Survey
The Review Digital Articles The Review Print Magazine Member Updates and News
Additional Events: Training Courses Conferences Branch Dinners
Study: Qualifications Bulletin New Qualifications and Pathways Revision Tools
Other: Research Surveys Jobs Online
Telephone Communications: Membership Qualifications Events
Professional Forums and Interest Groups events in London:
Bond Compliance Corporate Finance International Regulation Financial Planning FinTech Operations
Risk Wealth Management Young Professionals Training and Competence Paraplanner

Membership Directory: Opt in Membership Directory

5. Statement of eligibility

I confirm the following (please tick)

I have been an ACSI / MCSI / Chartered MCSI / FCSI member for a minimum of one year and I have passed the IntegrityMatters test (required for all applications)

If applying for **Chartered MCSI** status, please confirm which of the following criteria you have met:

- I have logged three full consecutive years' CPD* as an ACSI
 I have a CISI level 4/5 qualification and I have logged two full consecutive years' CPD* as an ACSI
 I have a CISI level 6/7 qualification and I have logged a full year of CPD* as an ACSI
 I have logged a year of CPD* as an MCSI/FCSI

If applying for **Chartered FCSI** status, please confirm the following criteria is met:

- I have a CISI level 6/7 qualification and I have logged 3 full consecutive years' CPD* as a Chartered MCSI/MCSI/FCSI

* Must be the CISI's CPD scheme or a firm's scheme accredited by the CISI. CPD must be successfully logged in consecutive years.

For office use only

MEM _____
CPD _____
IM _____
QUAL _____

Signature: _____

Date: _____

6. Disciplinary history (tick one)

I have been convicted of a criminal offence

Yes No

Please note that you do not need to disclose protected convictions that are filtered from a standard and enhanced Disclosure and Barring Service (DBS) check.

I have been adjudged bankrupt or insolvent or compounded with my creditors.

Yes No

I have been subject to disciplinary proceedings by the FCA, other regulator or any professional body within the past five years.

Yes No

Please provide details with your application if you have responded Yes to any of the above.

7. Declaration

1. On applying to become a member of the Chartered Institute for Securities & Investment I agree to abide by the Royal Charter, Bye-laws, Regulations, and to uphold its high standards as published in its Professional Code (all available from website). Any breach of the Regulations may give rise to disciplinary procedures and termination of my membership
2. I am aware that CPD is mandatory for CISI members and agree to undertake CPD on an annual basis meeting the CISI CPD requirements to maintain my membership. I understand non-compliance of the CISI CPD requirements can lead to my membership being suspended.
3. If not already achieved, to activate my membership I agree to pass IntegrityMatters. I understand that if not completed within 3 months of joining the CISI my membership will be suspended.
4. I know of no reason why I should not become a member

Signature: _____

Name in full: _____

8. Payment (Please complete as appropriate)

The upgrade fee is £30, plus the difference in annual subscription between your current subscription fee and the category of Membership for which you are applying. The upgrade fee and subscription fee are payable at the time of upgrade. **Thereafter, subscriptions are due annually on 1st April**, and may be paid by direct debit.

I would like to upgrade from (please choose one option only)

- ACSI to Chartered MCSI £90.00 MCSI to Chartered MCSI £30.00 MCSI to Chartered FCSI £50.00
 Chartered MCSI to Chartered FCSI £50.00 FCSI to Chartered FCSI £30.00

(There is no pro-rata arrangement)

Please note that no upgrades can be processed where there is an outstanding subscription due for the current year.

Receipt required

Payment by firm: Firm reference: _____

I authorise payment for membership to be invoiced to our general account:

Print name: _____

Signed: _____

HR department _____

Payment by cheque:

Cheques should be made payable to:

'Chartered Institute for Securities & Investment Ltd' and crossed 'Account Payee only'.

Cheque attached

Payment by Card:

I wish to pay by: ***American Express/Delta/Eurocard/MasterCard/Maestro/Visa**

*Delete as applicable

I authorise you to debit my account with the amount of _____

Please Note: There is no fee for Visa Debit or Delta cards.

Card number: Security code.: *

Expiry date: ___/___/___

Maestro/AMEX issue date: ___/___/___

Maestro only issue No: _____

* If you do not wish to send your credit card information via the post, please contact customer support - Telephone +44 (0)20 7645 0777

Card holder's name: (if different to that in Section 1 of this form) _____

Signature: _____

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Date received: _____

Membership No: _____

Date processed: _____

Membership Official Initials: _____

Notes: _____

Please post, fax or email this form to:

Customer Support Centre, Chartered Institute for Securities & Investment, 20 Fenchurch Street, London EC3M 3BY Fax: +44 (0)20 7645 0601
Any questions? customersupport@cisi.org / +44 20 7645 0777