Question:  
What is the criteria for individual charter for members?

Answer:  
The criteria is as follows:

- Do you have a pass in IntegrityMatters?  
  - NO: You will need to take and pass IntegrityMatters
  - YES: Have you been an MCSI or FCSI member for a minimum of one year?
    - NO: Do you have three years' logged CPD as an ACSI? *
      - NO: You must log one year of CPD to proceed
      - YES: Do you have three years’ logged CPD as an MCSI or FCSI? *
        - NO: You have an SII/CISI Level 6/7 Qualification and three years logged CPD as an MCSI and/or Chartered MCSI
          - NO: Do you have one year's logged CPD? *
            - NO: Have you been an MCSI or FCSI member for a minimum of one year?
              - NO: Please refer to our website cisi.org/membership to see how you can qualify
              - YES: Chartered MCSI
            - YES: Chartered FCSI
          - YES: Do you have: 1. an SII/CISI Level 4 or 5 Qualification and two years’ logged CPD* as an ACSI 2. CISI Level 6/7 Qualification and one year’s CPD* as an ACSI?
            - NO: Have you been an MCSI or FCSI member for a minimum of one year?
              - NO: Please refer to our website cisi.org/membership to see how you can qualify
              - YES: Chartered MCSI
            - YES: Chartered FCSI
          - YES: Do you have: 1. an SII/CISI Level 4 or 5 Qualification and two years’ logged CPD* as an ACSI 2. CISI Level 6/7 Qualification and one year’s CPD* as an ACSI?
            - NO: Have you been an MCSI or FCSI member for a minimum of one year?
              - NO: Please refer to our website cisi.org/membership to see how you can qualify
              - YES: Chartered MCSI
            - YES: Chartered FCSI
          - NO: Do you have an SII/CISI Level 6/7 Qualification?
            - NO: Do you have three years’ logged CPD as an MCSI or FCSI? *
              - NO: You have an SII/CISI Level 6/7 Qualification
              - YES: To proceed
            - YES: Do you have: 1. an SII/CISI Level 4 or 5 Qualification and two years’ logged CPD* as an ACSI 2. CISI Level 6/7 Qualification and one year’s CPD* as an ACSI?
              - NO: Have you been an MCSI or FCSI member for a minimum of one year?
                - NO: Please refer to our website cisi.org/membership to see how you can qualify
                - YES: Chartered MCSI
              - YES: Chartered FCSI
            - YES: To proceed

- No, this is not one of the requirements.

- The only logged CPD that will count is through the CISI CPD/RDR scheme or if your firm’s CPD scheme has been formally accredited by the Institute. Approved CPD year/s must be consecutive and directly precede your application.

- * CPD must either be logged on the CISI CPD Scheme or your firm’s scheme must have been formally accredited by the Institute. Approved CPD year/s must be consecutive and directly precede your application.
Question: How much CPD do I need to complete under the CISI CPD/RDR scheme?

Answer: 35 hours which meet the requirements of the CPD scheme. For further information please visit cisi.org/cpdscheme.

Question: What if I haven’t completed 35 hours CPD?

Answer: You can start logging your CPD now, if you are in your first year then you can back date your CPD registration by 11 months. Those members already logging CPD for this year can count this as well. To find out more email cpdscheme@cisi.org. Please note, the membership requirements still need to be met and this cannot be backdated.

Question: Is CPD compulsory for individual chartered members?

Answer: Yes, individual chartered members on an annual basis must complete the CPD requirements of the CISI scheme, which is set at 35 hours.

Question: When can I apply for individual charter?

Answer: You can apply now as long as you have met the necessary requirements.

Question: I am a member overseas, can I apply to become individually chartered?

Answer: Yes, the requirements are the same for all members regardless of whether they are based in the UK or overseas.

Question: Is there a fee to apply for individual charter?

Answer: Yes, fees can be found at cisi.org/mfees.

Question: I have previously successfully completed a CISI CPD year but not in the last 13 months. Is this ok?

Answer: No, you must hold a CISI CPD certificate for the previous 12 months and are currently recording CPD on the CISI CPD scheme.

Question: What happens to my membership if I am an individual chartered member but do not complete the necessary CPD?

Answer: As mentioned previously, CPD is compulsory, so if members in a chartered category do not complete the necessary CPD requirements, they may have their individual chartered status suspended.

Question: Will my CPD be audited?

Answer: As part of the CPD scheme there is a random audit of participating members. The audit is risk-weighted and those members in a chartered category attract a higher risk-weighting as CPD is mandatory.

Question: I am a retired member, will I be able to apply for individual charter?

Answer: Yes, but only if you meet the criteria and complete CPD annually.

Question: You refer to level 5 exams for certain upgrades to individual charter. Which SII/CISI exams are level 5?

Answer: Current CISI qualifications are Advanced Global Securities Operations and Advanced Operational Risk. Legacy qualification is Advanced Investment Schemes Administration.
Question: You refer to level 6/7 exams for certain upgrades to individual charter. Which CISI/SII exams are level 6/7?

Answer: The full list is as follows:

- Full CISI/SII Diploma
- Chartered Wealth Manager Qualification (formerly known as the CISI/SII Masters in Wealth Management)
- Diploma in Investment Compliance
- Diploma in Investment Operations
- CISI Level 6 Certificate in Private Client Investment Advice & Management
- CISI & ICAEW Diploma in Corporate Finance

Question: I hold level 6/7 qualifications through another professional body, will they count towards becoming individually chartered?

Answer: No, the level 6/7 qualifications must be gained through the CISI/SII.

Question: Will there be provision for members in a chartered category that are on maternity leave, long term sick, etc re the CPD hours required?

Answer: Yes, there is already a provision in the CPD scheme for these categories and this will continue for members in a chartered category. For RDR affected members in this situation please see cisi.org/cpdrdr for information.

Question: Under chartered status can I reinstate at the same level of membership that I left?

Answer: If you are reinstating within the same subscription year then you are eligible to reinstate at your original level. If you are reinstating outside of the subscription year, then you will reinstate at the original level, except for Fellows, you will rejoin at the Member level.

Question: If I wish to reinstate my membership, do I have to wait any length of time before applying for individual charter?

Answer: Yes, you will have to meet the criteria set out for new members.

Question: Can I backdate the registration date on my CPD log?

Answer: You can start logging your CPD now, if you are in your first year then you can back date your CPD registration by 11 months. Those members already logging CPD for this year can count this as well. To find out more email cpdschemecisi.org. Please note, the membership requirements still need to be met and this cannot be backdated.

Question: Do I have to pass the online IntegrityMatters to become individually chartered?

Answer: Yes, you will need to gain a pass in IntegrityMatters to be eligible to apply.
Question: As a Chartered MCSI who upgraded in the window of opportunity can I upgrade to Chartered FCSI?

Answer: Yes, there are two routes to upgrade, they are as follows:
1. Must have passed a SII/CISI level 6/7 qualification and three years logged CPD as a Chartered MCSI
2. Must have ten years experience as a MSI or MCSI with three years logged CPD as a Chartered MCSI (this transition is only available to those existing members who entered the chartered stream in the 12 month window of opportunity – 1 Nov 2009 - 1 Nov 2010)

Question: As a new member, once I become a Chartered MCSI can I upgrade to Chartered FCSI?

Answer: Yes, there is only one route to upgrade, which is as follows:
1. Must have passed a SII/CISI level 6/7 qualification or assessment and three years logged CPD as a Chartered MCSI

Question: If I have passed a SII/CISI level 6/7 qualification, can I upgrade from ACSI to MCSI?

Answer: Yes, you can upgrade as you have a higher level qualification.

Question: How much does IntegrityMatters cost?

Answer: The cost of IntegrityMatters is £20.

Question: Where do I pay for the test?

Answer: You can contact customer support on +44 20 7645 0777, or see cisi.org/integritymatters for more information.

Question: Do I have to pass IntegrityMatters before I apply for individual charter?

Answer: Yes. No applications for individual charter will be processed until IntegrityMatters has been passed.

Question: How will Chartered status affect Institute members?

Answer: By belonging to a Chartered professional body, members will benefit from enhanced status in the industry and the wider community. Members will be part of an organisation which holds the respect of government and industry, and can communicate with the public on a whole new level. There will be little doubt in consumers' minds that chartered members of the CISI are highly regarded and qualified professionals and as a consequence will be required to act as such.