

# Membership Application Form for CAIA members

All questions to be answered and printed in ink and in block capitals.

**1. Which type of Membership are you applying for?** Associate (ACSI)  Full Member (MCSI)

**CISI Candidate/Membership number** (if applicable) \_\_\_\_\_ **CAIA Number** \_\_\_\_\_

(Details of these and all admission criteria can be found on our website [cisi.org/CAIA](http://cisi.org/CAIA))

## 2. Personal details

Title \_\_\_\_\_  
First name(s) \_\_\_\_\_  
Last name \_\_\_\_\_  
Home address \_\_\_\_\_  
\_\_\_\_\_  
Postcode \_\_\_\_\_  
Tel. (include country and local code) \_\_\_\_\_  
Mobile \_\_\_\_\_  
Email \_\_\_\_\_  
Date of birth DD/MM/YYYY \_\_\_\_\_  
Former name(s) if any \_\_\_\_\_

## 3. Work details

Firm name \_\_\_\_\_  
Job title \_\_\_\_\_  
Department \_\_\_\_\_  
Firm address \_\_\_\_\_  
\_\_\_\_\_  
Postcode \_\_\_\_\_  
Tel. (include country and local code) \_\_\_\_\_  
Email \_\_\_\_\_

## 4. IntegrityMatters

Obtaining a pass in the CISI's IntegrityMatters is a requirement for CISI membership.

For more information please go to [cisi.org/integritymatters](http://cisi.org/integritymatters)

I have passed the IntegrityMatters test

I will pass IntegrityMatters to activate my membership

## 5. Qualifications/Experience

I am a Chartered Alternative Investment Analyst Association Member  I have three years' or more experience

(Please attach copy of CV)

## 6. Communications Preferences

 Please tell us what information you would like to be contacted about

**Additional Events:** Training Courses  Conferences  Branch Dinners

**Other:** Research Surveys  Jobs Online

### Professional Forums and Interest Groups events in London:

Bond  Compliance  Corporate Finance  International Regulation  Financial Planning  FinTech  Operations

Risk  Wealth Management  Young Professionals  Training and Competence  Paraplanner

**Study:** Qualifications Bulletin  New Qualifications and Pathways  Revision Tools

**Telephone Communications:** Membership  Qualifications  Events

**Your Membership:** Membership Upgrades  CPD, Networking and Social Events  Online Learning  Member Survey

The Review Digital Articles  The Review Print Magazine  Member Updates and News

**Membership Directory:** Opt in Membership Directory

**7. Contact information** (tick one)

Correspondence to be delivered to:

 Work Home

Who will pay annual subscription?

 Firm Self

If firm, please provide the following information:

Contact name: \_\_\_\_\_

Dept: \_\_\_\_\_

Cost centre: \_\_\_\_\_

Address (if different from above):  
\_\_\_\_\_  
\_\_\_\_\_**8. Disciplinary history** (tick one)

I have been convicted of a criminal offence

Yes  No *Please note that you do not need to disclose protected convictions that are filtered from a standard and enhanced Disclosure and Barring Service (DBS) check.*

I have been adjudged bankrupt or insolvent or compounded with my creditors.

Yes  No 

I have been subject to disciplinary proceedings by the FCA,

other regulator or any professional body within the past five years.

Yes  No 

Please provide details with your application if you have responded Yes to any of the above.

**9. Declaration**

- I agree to the CISI contacting the CAIA Association about me, to obtain information to assist with the processing of my membership application form.
- On applying to become a member of the Chartered Institute for Securities & Investment I agree to abide by the Royal Charter, By-laws, Regulations, and to uphold its high standards as published in its Professional Code (all available from website). Any breach of the Regulations may give rise to disciplinary procedures and termination of my membership
- I am aware that CPD is mandatory for CISI members and agree to undertake CPD on an annual basis meeting the CISI CPD requirements to maintain my membership. I understand non-compliance of the CISI CPD requirements can lead to my membership being suspended.
- If not already achieved, to activate my membership I agree to pass IntegrityMatters. I understand that if not completed within 3 months of joining the CISI my membership will be suspended.
- I know of no reason why I should not become a member

Signature: \_\_\_\_\_

Date DD/MM/YYYY \_\_\_\_\_

Name in full: \_\_\_\_\_

**10. Payment** (Please complete as appropriate)

The Institute has agreed to waive the joining fee for CAIA members. The subscription is payable at the time of application. **Thereafter, subscriptions are due annually on 1st April.** The membership fee is payable on a pro-rata basis at any stage of the year. Please ensure that you pay the correct fee for the time of year you are joining.

**Pro-Rata Fees 2019/20:**

(April - June)

**Application Period**

(July - Sept)

(Oct - Dec)

(Jan - March)\*

ACSI £140 £105 £70 £175

MCSI £202 £152 £101 £253

Total Receipt required 

\* Includes fee for the following subscription year.

**Payment by firm:**

I authorise payment to be invoiced to our general account:

Print name: \_\_\_\_\_

Signed: \_\_\_\_\_

HR department \_\_\_\_\_

Firm reference: \_\_\_\_\_

**Payment by cheque:**

Cheques should be made payable to:

'Chartered Institute for Securities &amp; Investment' and crossed 'Account Payee only'.

 Cheque attached**Payment by Card:**

I wish to pay by: \*American Express/Delta/Eurocard/MasterCard/Maestro/Visa \*Delete as applicable

I authorise you to debit my account with the amount of Card number:                    Security code:     \*

Expiry date: \_\_\_/\_\_\_/\_\_\_

Maestro/AMEX issue date: \_\_\_/\_\_\_/\_\_\_

Maestro only issue No: \_\_\_\_\_

\* If you do not wish to send your credit card information via the post, please contact customer support - Telephone +44 20 7645 0777

There will be no fee for Visa Debit or Delta cards.

Card holder's name: \_\_\_\_\_

Signature: \_\_\_\_\_

The CISI reserves the right to seek independent verification of all the information supplied on this application form as part of the assessment process.  
The CISI reserves the right to refuse applications where information supplied is found to be false

**Please post or email this form to:**

Customer Support Centre, Chartered Institute for Securities &amp; Investment, 20 Fenchurch Street, London EC3M 3BY

**Any questions?** applications@cisi.org / +44 20 7645 0777