

David Norton 'Building Excellence' Award 2017
The Financial Planning Annual Conference & Gala Awards

Terms and Conditions



1. David Norton, Building Excellence Award (“the Competition”) is being conducted by the Chartered Institute for Securities and Investment (CISI), 20 Fenchurch Street, London, EC3M 3BY
2. Entry to the Award is open to all UK based Financial Planning firms that have identified a number of areas for development of the business. The staff within the firm need not be members of the CISI.
3. The 2017 Competition commences on 22 May 2017 and applications (i.e. fully completed entries) must be submitted in accordance with these terms and conditions by the closing time/date of 5pm on Monday 21 August 2017 at 9am.
4. To enter the Competition, applicants must:
 - a) Complete the online application (answering all questions) by 5pm on 31 July 2017; and
 - b) if shortlisted, provide any supplementary documentation requested by the judging panel.
5. Applicants may only enter the Competition once. CISI accepts no responsibility for incorrectly submitted, delayed, misdirected or illegible entries into this Competition.
6. A panel of judges (“The Panel”) consisting of representatives from CISI and other experts will review the applications and select the winner. Entrants will be judged according to the calibre of their submission supported by the business data and any supplementary information provided. The business data and any supplementary information will only be used in connection with this competition. Members of the Panel may interview by telephone and/or email applicants at a mutually convenient time if further information is required.
7. The winner will be announced at CISI financial planning annual CISI conference. Their details will be subsequently published on the website and in various industry publications following announcement at the CISI conference. A final shortlist of candidates will be informed in July and will be requested to attend an interview with the judges on 11 September in London.
8. The Winner will receive:
 - a) Press coverage
 - b) An annual conference place in 2018
 - c) a trophy;

d) a framed certificate.

9. The winners must take the prizes as specified above. Where applicable, the prizes cannot be redeemed for cash, exchanged or transferred to another person. The winner acknowledges and agrees that all other costs associated with the prize, including taxes and other ancillary costs, are their own responsibility.
10. CISI may without notice combine the awards and prize fund if it is deemed that there are insufficient entries.
11. Chance plays no part in this Competition. The Panel's decision is final and no correspondence will be entered into. Any applicants or applications not complying with these terms and conditions or involving fraud or any other illegal activity may be disqualified by the Panel and/or replaced by another applicant at any time. CISI reserves the right to at any time undertake any verification checks of the application submitted.
12. By entering the Competition, all applicants confirm and agree that they will abide by these terms and conditions and that they are the person who submitted the application on the Website.
13. CISI is not responsible for any incorrect or inaccurate information, either submitted by the applicant on the Website, or caused by equipment or programming used in this Competition, or for any technical error that may occur during the administration of this Competition including any omission, interruption, deletion, defect, delay in operation or transmission, network failures, technical problems or traffic congestion on the Internet or on the Website, software failure, theft, destruction, alteration or unauthorised access to the applications or any injury or damage to any person's computer related to, or resulting from, participating in this Competition.
14. CISI and its associated companies and agencies shall not be liable in any way (including, without limitation, in negligence) for any loss or damage whatsoever suffered (including, without limitation, indirect or consequential loss) or for any loss or damage or personal injury suffered or sustained in connection with using any prize, except any liability that cannot be excluded by law.
15. By entering the Competition, the Winner agrees to participate in publicity activities associated with the Award including interviews and photo sessions requested by CISI.
16. The information entrants provide will be used by CISI for the purpose of conducting the Competition. CISI may disclose the personal information it collects to contractors it engages to assist it in conducting the Competition or communicating with the applicant. CISI is bound by the Data Protection Act. Entrants can access the personal information which CISI holds about them by [contacting us here](#).