



Certificate for Introduction to Securities & Investment

What is the Certificate for Introduction to Securities & Investment?

This qualification is an important first step in developing the essential basic knowledge required for working in financial services.

The qualification provides a basic introduction to the financial services industry with a focus on investments. Students will learn the nature and purpose of the retail and wholesale (professional) sectors, as well as the main products and market structures for the trading of equities, debt, commodities and derivatives.

Achieving this qualification will give students a head start when applying for a job within the industry.

Ofqual approval

This Certificate is regulated by Ofqual as a Level 3 qualification on the Regulated Qualifications Framework (RQF).

How can it be incorporated into your teaching programme?

- The Certificate is approved for funding for delivery to 16-19 year olds as defined under Section 96 of the Department for Education's (DfE) Learning and Skills Act 2000.
- Equivalent to half an A level, offers a progressive pathway onto higher education and a number of financial services apprenticeships.
- First unit of the qualification forms part of a number of apprenticeship programmes in financial services.
- Can be incorporated as an enrichment option to a student's main programme of study at Level 3.

Key features

- **200 Guided Learning Hours**
- **Up to 24 UCAS Points**
- **Free teacher training, support and online resources**
- **Cost:** £120 per student, includes exam and workbook
- **Progression route onto further CISI qualifications**
- **Career insight days and work experience opportunities**
- **Free CISI Student Membership (Usually £50):** Access to a range of benefits including CISITV, CPD events and professional refreshers



Qualification Structure

Financial Products, Markets & Services

- **80 GLH**
- **Assessment is through a computer based exam available all year round**
- **50 Multiple choice question exam**
- **Graded A-E**

Contents of the course

- Financial services
- Economic environment
- Financial assets and markets
- Equities
- Bonds
- Derivatives
- Investment funds
- Financial services regulation
- Taxation, investment wrappers and trusts
- Other retail financial products

Extended Project

- **120 GLH**
- **3000-4000 word extended project (title set by CISI)**
- **Graded A-E**

Contents of the course

- Plan and manage an investigation
- Undertake research, collect evidence and select information
- Analyse/interpret evidence and drawn conclusions
- Evaluation

“The CISI programme was very supportive and informative and has, given me a much greater sense of direction with regards to where I want my career to go. The Legg Mason placement was very helpful and an eye-opening experience.”

Sam Tanner,
The Norton Knatchbull School

“I am delighted to be associated with the CISI's programme, and team of educational specialists. The initiative itself underpins the Thesis belief that the industry can, and should, play a large and constructive part in financial education.”

Kate Nathoo Chartered FCSI,
Office Director,
Thesis Asset Management.

To find out more, please contact the CISI Education Development Team.

[cisi.org/schoolsandcolleges](https://www.cisi.org/schoolsandcolleges)

+44 20 7645 0714

educationdevelopment@cisi.org