

# Annual General Meeting 2025 Notice

To all members of the Chartered Institute for Securities & Investment, notice is hereby given that the Annual General Meeting of the Institute will be held at 09.30 BST on **Wednesday 15 October 2025** at the Institute's Offices, 20 Fenchurch Street, London EC3M 3BY. Members will also be able to take part online.

The AGM is an opportunity for members to find out how the CISI advanced its strategic aims and charitable objectives over the past year and understand how the Board fulfilled its governance responsibilities through the Trustee's report and audited accounts. The meeting will also appoint Trustees to the Board. The Board hopes members will be able to participate in this important annual event.

## Joining the AGM and Voting

Members will be able to attend, participate and vote in the AGM online or in person. If any member wishes to attend in person they must complete the attached form to pre-register for admission to the venue. Please submit the form to the Governance Unit by **Wednesday 8 October 2025**. Building Security will only admit pre-registered visitors with valid ID.

**Online:** A link will be provided via the membership portal. Please check your online profile in advance to ensure you can participate. Please contact the Governance Unit at [CISIGovernanceUnit@cisi.org](mailto:CISIGovernanceUnit@cisi.org) if you experience any difficulty. Thank you.

**Proceedings:** The full agenda (including proposed resolutions) is attached. Eligible members may attend and ask questions at the meeting. Questions can also be submitted in advance of the meeting to the Governance Unit. Only full members and chartered members are eligible to vote under the Byelaws prescribed in the Royal Charter.

**Proxy Voting:** A member may appoint another member to act as a proxy to vote on their behalf. You can also appoint the Chair to act as your proxy on the MyCISI member area of [cisi.org](http://cisi.org), or by using Proxy Form A. Alternatively, you can appoint a different member as proxy, who may speak on your behalf at the meeting, using Proxy Form B.

**Attachments:** Agenda (including Proposed Ordinary Resolutions)  
Candidate Profiles  
Proxy Voting Form A  
Proxy Voting Form B



**Michael Cole-Fontayn**  
Chair, CISI Board of Trustees  
19 September 2025

# Annual General Meeting 2025 Agenda

1. Chair's Welcome
2. Question and Answer Session
3. Proposed Ordinary Resolutions
  - A. **To receive** the minutes of the Annual General Meeting of the CISI held on 9 October 2024
  - B. **To receive** the Annual Report and Accounts for the year ended 31 March 2025
  - C. **To reappoint** the external auditor serving until the 2026 AGM:
    - i. **Crowe UK LLP** 55, Ludgate Hill, London, EC4M 7JW
  - D. **Re-elect** the following Trustees who are standing for re-election:
    - i. **Catherine McGuinness** for a second term of 3 years
    - ii. **Nandika Buddhipala** for a final term of 1 year
  - E. **Elect** the Board's nominations for new Trustees:
    - i. **Nick Garnish** for a term of 3 years
    - ii. **Susan Daniel** for a term of 3 years
  - F. **Co-opt** a new Trustee:
    - i. Rosie Glazebrook
  - F. **To Extend Board Tenure**
    - i. **Claire Perryman** has been nominated by the Board to serve for a final term of 1 year
  - G. **Note** any Trustee resignations during the year.
    - i. **Petros Florides** - resigned 17 June 2025
    - ii. **Ravikumar Puranam** - resigned 21 July 2025
4. Date of next year's AGM – 15 October 2026

## Candidate Profiles

The following candidates are all currently serving as Trustees, but whose terms of office will end at the AGM. The Board is pleased to recommend them for re-election.

### **Catherine McGuinness CBE**

Catherine is standing for re-election following 3 years on the Board.

Catherine has a wealth of experience having worked for decades across finance, law and public policy. She served as the Chair of the City of London's Policy & Resources Committee between 2017 – 2022, leading on its work with the financial and related professional services sector.

As Policy Chair she played a leading role in the City's work with the financial and related professional services sector. She was deputy chair of The CityUK, and a member of the Professional & Business Services Council (where she still co-chairs the International Trade Group). As one of London's leaders she was also an active participant in London Councils, sitting on the Leaders' Committee and Executive Committee.

Catherine has a long interest and involvement in education and training. She is the immediate Past Master of the Worshipful Company of Educators. She is on the Council at City, University of London, has chaired the City of London Corporation's Education Board and the City of London Academies Trust, and has been a board member of the Financial Services Skills Commission. Catherine is currently leading a government-commissioned taskforce aimed at boosting socio-economic diversity at senior levels in UK Financial and Professional services.

She is a participant in a number of green finance initiatives, including the Steering Committee of the UK Voluntary Carbon Markets Forum, the Distinguished Advisory Group of the Integrity Council for the Voluntary Carbon Market, and is also a member of the Scottish Taskforce for Green and Sustainable Financial Services.

A solicitor by training, she practiced in financial services law for several years. Catherine studied PPE at St Anne's College, Oxford

### **Nandika Buddhipala, MCSI**

Nandika is standing for re-election following 3 years on the Board.

Nandika has over 35 years' experience in the sectors of banking and financial Institutions, mobile telecommunication industry, audit and assurance and management consultancy assignments. Nandika was the Chief Financial Officer of the Commercial Bank of Ceylon PLC from January 2008 until his retirement in May 2025.

Nandika established a finance and management consultancy firm after his retirement from the bank. Further, he is a council member of the University of Sri Jayewardenepura, Sri Lanka since April 2025 and performs as the Chair of the Audit Committee and the Investment Committee of the University of Sri Jayewardenepura. Nandika is member of the Study Board of the BSc in Financial Engineering and MSc in Financial Mathematics of the Mathematics Department of the University of Colombo. Nandika has been appointed as a member of the Subject Committee for formulating a Subject Benchmark Committee (SBS) Business Economics by the University Grants Commission of Sri Lanka in August 2025. Nandika been appointed as the Chair of the Banking, Finance Committee of the Institute of Certified Management Accountants Sri Lanka in June 2025 and performs as a member of the Management Accounting Standards Board of the institute. Nandika is

also a member of the Financial Reporting Standards Implementation and Interpretation Committee of the Institute of Chartered Accountants of Sri Lanka. Nandika has been a member of the ACCA Global Forum for Education.

Prior to his career at the bank, Nandika worked as Chief Financial Officer reporting to CEO and Telstra HO in Sydney until end 2003. Nandika is a Fellow Member of the Institute of Chartered Accountants of Sri Lanka, Institute of Certified Management Accountants of Australia, Institute of Certified Management Accountants of Sri Lanka, Association of Chartered Certified Accountants UK, and Member of the Financial Services Institute and a Member of the Institute of Management Accountants USA. Further, Nandika holds BSc and PG Diploma in Management from the University of Sri Jayawardenepura university and MBA, MA in Financial Economics and MSc in Financial Mathematics from the University of Colombo, Sri Lanka. Nandika is also the President - National Advisory Council Sri Lanka, Past President National Chamber of Commerce of Sri Lanka, Past Chair - Member Network Panel, ACCA Sri Lanka branch.

The following candidates were referred to by the Board and put through the application process which involved skills review and interviews by the People & Remuneration Committee. The Board is pleased to nominate them for election:

### **Nick Garnish, Chartered MCSI**

Nick is seeking election to the Board as a self-referred candidate.

Nick is the Master of the Worship Company of International Bankers and a highly experienced Treasurer, with a strong commercial focus and a proven ability to deliver strategic change programs to achieve organizational efficiency and control while optimizing liquidity, capital, collateral, profitability and regulatory compliance.

Nick is a very experienced Charity trustee. He has been involved with the CISI for over 15 years. He has served on the CISI Risk Forum since 2013 and chaired the forum from 2020 to 2022. He has also volunteered with the Institute of Bankers to develop the Climate Risk Certificate.

He is the Treasure (Europe, Asia & Australia for CIBC, a large international bank wot staff located globally with varying regulations and cultural nuances

### **Susan Daniel**

Susan is seeking election to the Board.

Susan is the founder and Chief Executive Officer of Aquilae Consulting Limited LLC FZ. The firm's vision is to create Tangible business values by enhancing the role of risk management across organisations in the Middle East from strategy setting to performance evaluation. The firm provides expert guidance on corporate governance and risk management with a strong emphasis on quantitative risk modelling, compliance, internal audit, and assurance services.

Susan is a member of the Audit and Risk Committee and board advisor for an FMCG group of companies and is the Chief Risk Officer of Sovereign Investor, a global firm empowering sovereign wealth funds, public wealth funds, pension funds and state-owned enterprises, offering research and tailoring advisory solutions to government and board level.

Susan worked as the Chief Risk Officer for ADQ since October 2021. Susan was responsible for the creation, management, and implementation of risk management framework at ADQ and across portfolio companies using COSO ERM and ISO31000. Susan was also a member of Audit and Risk Committee in several ADQ companies including Abu Dhabi airport and Etihad Airways. Prior

to ADQ, Susan was the Chief Risk and Assurance Officer of Oman Investment Authority (OIA) over 11 years.

Susan has over 36 years of professional experience having worked in leadership positions in UK, Oman and UAE. Prior to working for OIA, Susan was an advisory partner in PricewaterhouseCoopers leading large projects for Oman Government and delivered projects for clients in MENA. Susan's area of expertise is governance, risk management, internal audit and compliance.

Susan has been a Fellow member of the Chartered Certified Accountants of England and Wales since 1994.

### **Rosie Glazebrook**

Rosie is being appointed as a co-opted Trustee.

Rosie has extensive board experience as an independent Chair and Non-Executive Director across a range of sectors and works at the interface of government and business in the UK and internationally. She is currently Chief Executive of the Commonwealth Enterprise and Investment Council and chairs the industry bodies Copyright Licensing Agency and Publishers' Licensing Services (until May'25) and is a Member of BSI Group's Standards Policy and Strategy Committee. She completed her five-year fixed term as Civil Service Commissioner in May 2022 and was previously on the boards of the Food Standards Agency and other national health and regulatory organisations. Her earlier career was in media, publishing and health data organisations working both in the UK and internationally. She has a chemistry degree from Oxford University.

The following candidate expressed an interest in serving an additional year. The Board is pleased to nominate them for election for a further and final term of one year until the next AGM.

### **Claire Perryman, CAIA, Chartered MCSI**

Claire is a Managing Director and the UK Head of Exchange Traded Funds at State Street Global Advisors. She is responsible for the distribution of index products to institutional investors, wealth managers, family offices and retail platforms.

Prior to joining State Street, she was a Managing Director at BlackRock where she was UK Head of iShares Wealth Management and Retail Sales. She also served as Co-Head of BlackRock's Women's Network for EMEA. She joined iShares in 2008.

She spent the first nine years of her career at UBS – in Emerging Markets institutional sales, and in business development within Wealth Management.

Claire is a graduate in Money, Banking & Finance from the University of Birmingham, holds the Chartered Alternative Investment Analyst designation, and is a Chartered Member of the Chartered Institute for Securities & Investment.

She is also non-executive director of the Chartered Institute for Securities & Investment and Deputy Chair of the Board of Directors. Claire also serves on the CISI People & Remuneration Committee and the CISI Audit & Risk Committee.

# Annual General Meeting 2025 (Form A) Appointing the Chair as Proxy

*Please ensure this form is with the Governance Unit no later than Wednesday 8 October 2025*  
[TheGovernanceUnit@cisi.org](mailto:TheGovernanceUnit@cisi.org) 20 Fenchurch Street, London, EC3M 3BY

Member Name: \_\_\_\_\_

Membership Number: \_\_\_\_\_

I appoint the Chair of the Institute to act as proxy and vote on my behalf as follows: (if no preferences are specified the Chair may exercise the proxy vote as they see fit or abstain from voting)

## Proposed Ordinary Resolution

Re-elect Catherine McGuinness  
Re-elect Nandika Buddhipala  
Nominate Nick Garnish  
Nominate Susan Daniel  
Extend tenure Claire Perryman

For	Against

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

# Annual General Meeting 2025 (Form B) Appointing another Member as Proxy

*Please ensure this form is with the Governance Unit no later than Wednesday, 8 October 2025*  
[TheGovernanceUnit@cisi.org](mailto:TheGovernanceUnit@cisi.org) 20 Fenchurch Street, London, EC3M 3BY

Member Name: \_\_\_\_\_

Membership Number: \_\_\_\_\_

I appoint the following member of the Institute to act on my behalf in voting by proxy and/ or speaking on my behalf at the AGM:

Nominated Member: \_\_\_\_\_

Membership Number: \_\_\_\_\_

Signed: \_\_\_\_\_

Date: \_\_\_\_\_