Qualification Collaboration
Local and global blend of knowledge for professionals

The Collaboration
Together the institutes have collaboratively focused on qualifications, CPD, and Integrity & Ethics to create a qualification pathway which combines local and global content. A blend of international and Ghanaian best practice aims to raise the professional standards and enhance the Ghanaian securities and investments sector.

cisi.org/qualifications

The CISI
The CISI is the leading global professional body of choice for financial practitioners within the securities and investment sector. With formal recognition in 20 countries across the continent the CISI is committed to working together to promote professionalism and develop talent to support capital market growth.

The GISI
The GISI is registered by the Registrar General’s Department to train professionals and has as its members the Securities and Exchange Commission, Ghana Stock Exchange, Central Securities Depository and Ghana Securities Industry Association.
### GSI - CISI Qualification Pathway

<table>
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<tr>
<th>Job Designation</th>
<th>Basic</th>
<th>Advanced</th>
<th>Legal &amp; Regulatory</th>
<th>Professional</th>
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</thead>
<tbody>
<tr>
<td>Securities Trader Broker/Dealer</td>
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<td>Securities (Capital Markets)</td>
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<td>Fund Manager Representative</td>
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<tr>
<td>Issuing House Representative</td>
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<tr>
<td>Investment Advisor Representative</td>
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<tr>
<td>Others (Primary Dealers, Custodians, Trustees, Nominees, etc)</td>
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</table>

#### Details:
- **Fundamentals of Financial Services**
- **International Introduction to Securities & Investment**
- **Legal and regulatory framework & supervision (LRFS)**
- **International Certificate in Wealth & Investment Management**
- **Corporate Finance Technical Foundation**
- **Any Other Approved Financial Market Certification (Eg. ACI, CA, CFA, LiFA, etc)**

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### Do you want to be an Investment Advisor Representative?

If you would like to be an Investment Advisor Representative, you need to take the following exams: Fundamentals of Financial Services, International Introduction to Securities and Investment, Legal and Regulatory Framework & Supervision, and International Certificate in Wealth & Investment Management.

### Do you want to be an Issuing House Representative?

If you would like to be an Issuing House Representative, you need to take the following exams: Fundamentals of Financial Services, International Introduction to Securities and Investment, Legal and Regulatory Framework & Supervision, and Corporate Finance Technical Foundation.

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#### Your Local Test Centre

Address: Linear Assessment Services, 1st Floor, Total House, Liberia Road, Accra, Ghana.

Computer Based Testing (CBT) available every week

**Book your exam today at cisi.org/qualifications**
The CISI’s CPD scheme

CISI members have access to a wide range of insightful CPD resources including the elearning tool (Professional Refresher), the exclusive members’ publication (The Review), video content (CISI TV) and an ethics test (IntegrityMatters). Tracking and recording CPD is made simple, as CISI CPD is automatically recorded to a personal CPD log. The CISI can support individuals in meeting any future CPD requirements that the GISI may introduce.

CISI TV

CISI have over 500 videos on multiple topics, as well as on insightful CPD events, which are available online – anywhere, anytime. Watch these videos as part of a CPD requirement, and CPD hours are automatically recorded on a personal online CPD log.

cisi.org/cisitv

Professional Refresher

CISI’s elearning tool Professional Refresher, allows self-administered tests on many subjects including the latest regulatory changes. The CISI offer over 150 elearning modules, and the completion of a Professional Refresher is also automatically recorded on the CPD log.

cisi.org/proref

The Review

The Review is the CISI’s official members’ publication full of interviews with high-profile industry figures, CPD articles and key topics in the industry. By reading the digital version, CPD is again logged automatically.

cisi.org/thereview

IntegrityMatters

In support of the CISI’s ongoing commitment to high ethical standards, all members must pass the online integrity test which highlights dilemmas individuals may face at work. This enables members to test their ability to make ethical decisions, and act with integrity in the workplace.

cisi.org/IntegrityMatters

MyCISI, tailored to you

MyCISI is a first-class interactive membership portal that offers a wide variety of learning, guidance and one-stop access

cisi.org/mycisi

The CISI’s IntegrityMatters product suite

Part of the CISI and GISI partnership focuses on integrity and ethics, which upholds an ongoing commitment to high ethical standards. The CISI offers a range of products and services which are available to members and non-members to debate, refresh and test integrity.

IntegrityMatters

Taken by over 50,000 practitioners globally, CISI’s online integrity test encourages practitioners to be more conscious about acting with integrity when making decisions in the workplace. Included in membership.

Interactive Workshops

The interactive workshop is tailored to suit your firm, business type and location. The workshops explore real-life ethical challenges that happen in the workplace and can earn you CPD points. Please contact us for prices and booking information.

Ethics Professional Refreshers

The CISI has 30 Ethics-specific Professional Refresher modules, which also earn you CPD points and can be completed online, anywhere, anytime. Included in membership.
"The execution of this memorandum of understanding represents a watershed in the development of the human capital requirements for the capital market in Ghana. As has been opined by some scholars, opportunity, without competence, is a sure path to fairyland. The opportunity to leverage the potential of Ghana’s capital market to spur economic growth needs to be met with well-heeled, well-trained, professional and competent human capital and this partnership with CISI is set to deliver that. I am excited."

Reverend Daniel Ogbarmey-Tetteh, Chairman, GISI

"We are honoured to be partnering with the GISI on this important project to support them in their plan to further develop the highest professional standards within the Ghanaian capital market. It is a great opportunity to combine expertise through CISI international standards and Ghanaian best practice with a Ghanaian Capital Markets Rules and Regulations exam."

Simon Culhane, Chartered FCSI, Chief Executive, CISI

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