

## ICAEW / CISI Diploma in Corporate Finance

### List of qualifications / examinations which accepted for eligibility / exemption purposes

|                   | Qualification / Local Regulatory Papers  |
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| <b>Bangladesh</b> | University of Dhaka<br>Institute of Business Administration BBA Program  |
|                   | University of Dhaka<br>Institute of Business Administration IBA Program  |
|                   | University of Dhaka<br>Institute of IBA Executive MBA Program  |
| <b>Bahrain</b>    | MCX-SX Certified Commodity Professional (MCCP)   |
| <b>China</b>      | PRC Securities Association of China Securities Regulations examination   |
| <b>Cyprus</b>     | Cyprus Regulatory exam   |
| <b>Dubai</b>      | Dubai Financial Services Authority Rules & Regulations examination   |
| <b>Germany</b>    | Certified Eurex Exchange Trader examination  |
| <b>Guernsey</b>   | Guernsey Business School /Isle of Man College<br>International Diploma in Financial Administration (IDFA)' -   |
| <b>Hong Kong</b>  | PRC Securities Regulations examination (Securities Association of China)<br><br>OR<br><br>Hong Kong Securities Institute (HKSI)<br>HKSI LE Paper 1 (Licensing examination for Securities and Futures Intermediaries Paper 1 "Fundamentals of Securities and Futures Regulation") |
|                   | Hong Kong Securities Institute (HKSI)<br>Certificate in Business Support Management  |

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| <b>India</b>     | BSE Certificate on Derivatives Exchange   |
|                  | BSE Certification on Stock Markets (BCSM)   |
|                  | BSE Certification on Central Depository (BCCD)  |
|                  | MCX-SX Certified Commodity Professional (MCCP)  |
| <b>Malta</b>     | Maltese Financial Regulation  |
| <b>Mauritius</b> | University of Mauritius<br>Masters of Business Administration (Financial Services)<br>Module: DFA 6240 <i>Financial Institutions and markets</i>  |
| <b>Ireland</b>   | The Irish Stock Exchange (ISE)<br>Regulatory Environment' examination (run by Institute of Bankers of Ireland)  |
| <b>Pakistan</b>  | ICM Analysts Certification Programme (ACP)<br>Pakistan Markets and Regulation Examination (Module 1)  |
| <b>Singapore</b> | Institute of Banking & Finance (IBF) / Capital Markets & Financial Advisory Services (CMFAS)<br><u>Module 1A or 1B</u> Rules & Regulations for Dealing in Securities (administered by Singapore College of Insurance)         |
|                  | Institute of Banking & Finance (IBF) / Capital Markets & Financial Advisory Services (CMFAS)<br><u>Module 5</u> "M5 - Rules And Regulations For Financial Advisory Services" (administered by Singapore College of Insurance) |
|                  | Institute of Banking & Finance (IBF)/ Capital Markets & Financial Advisory Services CMFAS<br><u>Module 2</u> Rules & Regulations Trading for Dealing in Fund Management   |
|                  | Capital Markets & Financial Advisory Services (CMFAS)<br><u>Module 2</u> Rules & Regulations Trading in Futures Contracts   |
|                  | Capital Markets & Financial Advisory Services (CMFAS)<br><u>Module 3</u> Rules & Regulations for Fund Management  |

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|                      | <p>ACI (The Financial Markets Association) Singapore<br/>         SOTC (Settlements Operations Training Course) Essential (was SOIC)<br/>         OR<br/>         *SOTC Advanced (was SOTC)<br/>         OR<br/>         *MOTC (Middle Office Training Course)</p> |
|                      | <p>ACI (Financial Markets Association)<br/>         SMU FICS Financial Markets Certificate</p>   |
| <b>South Africa</b>  | <p>South African Institute of Financial Markets (SAIFM) - "The Regulation of South African Financial Markets" unit of the Registered Persons Exam</p>  |
| <b>Sri Lanka</b>     | <p>University of Colombo<br/>         Bachelor of Business Administration FIN-2100-Financial Sector Regulations in Sri Lanka</p>   |
|                      | <p>Financial Services Academy FSA Series 3 - Financial Regulations &amp; Ethics</p>  |
|                      | <p>University of Jayawanapura<br/>         COM 3301: Corporate Law (course module within Bcom (Special Degree) or BSc Operations and Technology Management (Special) Degree programme</p>  |
| <b>Tunisia</b>       | <p>Réglementation Boursière et Financière Tunisienne</p>   |
| <b>United States</b> | <p>Series 17 Registered Representative examination</p>   |