ANNEX 3

Continuing Professional Development

In these Regulations “Continuing Professional Development” or CPD means 35 hours of logged and certified CPD in conformity with:

(a) the Institute’s CISI CPD scheme, the details and requirements of which are published on the Institute’s website and which may be varied from time to time; or

(b) a CISI accredited CPD scheme in a firm which is a corporate supporter of the CISI; or

(c) any CISI endorsed CPD scheme such as in international jurisdictions or through partnerships with other professional or accredited bodies, as determined by the CISI.

Examples of activities which may meet the requirements of the Institute’s CPD include, but are not limited to:

(a) studying for and taking of examinations (excluding benchmark qualifications) within the Institute’s study and examination programme and the study or examination programme (excluding benchmark qualifications) of other bodies relevant to the securities and investment industry;

(b) attendance at lectures, seminars and other Institute events;

(c) attendance at conferences, exhibitions and seminars relevant to work within the securities and investment industry;

(d) the taking of short courses relevant to the work within the securities and investment industry;

(e) the imparting of knowledge in relation to the securities and investment industry by coaching or mentoring;

(f) preparation of papers for conferences and seminars relating to the securities and investment industry;

(g) writing of articles and reviews accepted for publication in relation to the financial services industry;

(h) undertaking of committee work with trade associations for the securities and investment industry or committees of financial services organisations;

(i) such other learning, training or other professional development as the Board of Directors from time to time designates as or treats as Continuing Professional Development.
It is the responsibility of each member to ensure that the hours and type of CPD activity recorded are appropriate to the class of membership and membership designation used by the member and that they also meet any regulatory requirements from their regulator.

It is the responsibility of each Member to ensure that they maintain an appropriate record of their CPD activities and such record complies with the requirements of the Institute’s scheme and with the requirements of their regulator.

It is the responsibility of each Member to ensure that they comply with the CISI audit requirements if selected for audit.